By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of Kotak Mahindra Mutual Fund. Declaration for “Execution-only” transactions (only where EUIN box is left blank)

□ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

I would like to opt for  ■ Systematic Transfer Plan  ■ Systematic Withdrawal Plan

Systematic Transfer Plan

From
Scheme___________________ Plan___________________ Option (Please ✓)

□ Growth
□ Dividend ○ Payout OR ○ Re-investment
Dividend Frequency ______________________

To
Scheme___________________ Plan___________________ Option (Please ✓)

□ Growth
□ Dividend ○ Payout OR ○ Re-investment
Dividend Frequency ______________________

Transfer Option (Please ✓)
□ Fixed Sum OR □ Smart SIP OR □ Entire Appreciation

Min. Rs. 1000/-

Frequency (Please ✓)
□ Weekly (Please mention any day between Monday to Friday)
□ Monthly (Specify Date)
□ Quarterly (Specify Date)

Transfer Period From mm/yyyy
Transfer Period To mm/yyyy OR □ Till further instruction

Systematic Withdrawal Plan

Scheme___________________ Plan___________________ Option (Please ✓)

□ Growth
□ Dividend ○ Payout OR ○ Re-investment
Dividend Frequency ______________________

Withdrawal Option (Please ✓)
□ Fixed Sum OR □ Entire Appreciation

Min. Rs. 1000/-

Frequency (Please ✓)
□ Monthly OR □ Quarterly

Date ○ 1st ○ 7th ○ 14th ○ 21st ○ 25th

Commencement Date dd/mm/yy
Period From mm/yyyy To mm/yyyy

Declaration and Signatures

I/We have read and understood the contents of the SDF/SAI of the above referred Scheme(s) of Kotak Mahindra Mutual Fund. I/We hereby apply for allotment / purchase of Units in the Scheme(s) indicated as above and agree to abide by the terms and conditions applicable there to. I/We hereby declare that I/We authorized to make this investment in the above mentioned Scheme(s) and that the amount invested in the Scheme(s) is through legitimate sources only and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the Government of India from time to time. I/We hereby authorize Kotak Mahindra Mutual Fund, its investment Manager and its agents to disclose details of my investment to my / our Investment Advisor and / or banks.

I/We have neither received nor been induced by any rebate or gifts, directly, in making this investment.

To be signed by All Applicants if mode of operation is “Joint”
## Systematic Transfers / Withdrawals - Snapshot

<table>
<thead>
<tr>
<th>Schemes where STP / SWP are allowed</th>
<th>Systematic Transfers</th>
<th>Systematic Withdrawals</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>All Open-Ended Schemes</td>
<td>All Open-Ended Schemes except Kotak Tax Seaver during the lock-in period</td>
</tr>
</tbody>
</table>

### Instructions & Information

1. Please refer the Key Information Memorandum and Scheme Information Document of the respective Scheme(s) and Statement of Additional Information for Applicable NAV, Risk Factors, Load Structure and other information on the Scheme(s).
2. KYC is mandatory irrespective of STP amount. In case of minor applicants, the Guardian needs to be KYC Compliant.
3. STP / SWP registration needs to be submitted to the Registrar / AMC 7 days prior to the date of commencement of STP. In case the STP / SWP commencement date is less than 7 days from the date of submission of registration form and the date opted for, then the same would be registered for the next cycle. The AMC reserves the right to process the STP registration request received for a period less than 7 days in the interest of unit holders.
4. In case of Systematic Withdrawal / Systematic Transfer, you can choose between withdrawal / transfer of a Fixed Sum (not below Rs. 1000/-) or the Entire Appreciation on your Investment from your indicated commencement date.
5. Please indicate the commencement date in your STP / SWP request. In case of Fixed Sum Withdrawal / Transfer, the commencement date is the date on which your Systematic Withdrawal / Transfer starts. In case of Entire Appreciation Withdrawal / Transfer, the commencement date is the base date from which your appreciation gets computed. Your first Withdrawal / Transfer in this case would happen after a month from the commencement date (if you have chosen the SWP/STP frequency as monthly) or after a Quarter from the commencement date (if you have opted for quarterly SWP / STP).
6. A STP / SWP registration confirmation message shall be sent by way of an email and/or letter to the Unit holders registered e-mail address and/or postal address.
7. Confirmation for subsequent systematic transactions will be sent vide a consolidated account statement (CAS) for each calendar month on or before 10th of the succeeding month, by email (wherever investor has provided email id) or physical account statement where investor has not provided email id., across the schemes of the mutual funds, to all the investors in whose folio(s) transaction(s) had taken place during the month.
8. An investor can discontinue his STP / SWP facility by giving 15 days prior notice in writing to the Registrars’ (CAMS) office at any of the addresses mention below.
9. Incorrect, incomplete, ambiguous forms will be liable to be rejected.
10. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN would assist in addressing any instance of mis-selling even if the employee / relationship manager / sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case you are required to provide the declaration to this effect as given in the form.

### Checklist

Please ensure that:

- Your Name, Folio No. / Application No. is mentioned.
- The Form is complete in all respects & signed by all Applicants / Unit holders.
- Scheme (Plan) – Option / in from which you wish to do your systematic withdrawal / transfer is clearly indicated in the Systematic Transfer / Withdrawal Form.
- KYC letter is attached.

### Systematic Transfer Plan

- Scheme / Plan: Option from and to which you wish to transfer (switch) your investment is clearly indicated.
- Your choice of Fixed Sum Transfer or Entire Appreciation Transfer is indicated clearly. If you have chosen transfer of a Fixed Sum, your transfer request is for an amount not below Rs. 1000/-.
- Your preferred STP Day/ Date, Frequency [Weekly (Monday to Friday), Monthly or Quarterly (1st to 30th/ 31st)] and period is clearly indicated.

### Systematic Withdrawal Plan

- Your choice of Withdrawal either Fixed Sum or Entire Appreciation is indicated clearly. If you have opted for Withdrawal of a Fixed Sum, your withdrawal amount should not be below Rs. 1000/-.
- Your preferred Max SWP Day, Frequency (Monthly or Quarterly) and period is clearly indicated.

### CAMS Investor Service Centers

**Ahmedabad:** 111-113, 1st Floor, Depthav Building, Off C G Road, Behind Lal Bunglow, Ellis Bridge, Ahmedabad - 380006. **Bangalore:** Trade Centre, 1st Floor, 45, Dikersen Road, (Next to Manipal Centre), Bangalore - 560042. **Bhubaneswar:** 3rd Floor, Plot No - 111, Varaha Complex Building, Station Square, Kharvel Nagar, Unit 3, Bhubaneswar - 751001. **Chandigarh:** Deepak Tower, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh - 160017. **Chennai:** Ground Floor No. 178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600034. **Coimbatore:** Ittipo’s Imperial Trade Center, Door No. 64/3871 – D, 3rd Floor, M. G. Road (North), Cochin - 682035. **Coimbatore:** Ground Floor, Old No. 64 New No. 86, Lokamanya Street (West), R. P. Puram, Coimbatore - 641002. **Durgapur:** 3rd Floor, City Plaza Building, City Centre, Durgapur - 713216. **Goa:** No. 108, 1st Floor, Gurudutta Bldg, Above Weekend, M G Road, Panaji, Goa - 403001. **Hyderabad:** 208, 2nd Floor, Jade Arcade, Paradise Circle, Secunderabad - 500003. **Indore:** 101, Shalimar Corporative Centre, 8-B, South tukogunj, Opp. Greenpark, Indore - 452001. **Jaipur:** R-7, Yudhisthir Marg, C-Scheme, Behind Ashok Nagar Police Station, G-2, The Mall, Jaipur - 302001. **Kanpur:** 1st Floor 106 to 108, CITY CENTRE Phase - II, Kanpur - 208001. **Kolkata:** Saket Building, 44 Park Street, 2nd Floor, Kolkata - 700016. **Lucknow:** Off No 4, 1st Floor, Kokis Court Building, 3rd - 5, Park Road, Hazratganj, Lucknow - 226001. **Mumbai:** U/G Fl, Prince Market, Green Field, Near Traffic Lights, Sabarsha Nagar Pulw, Kphowl Road, Luchianada - 414001. **Madurai:** 1st Floor, 278, North Perumal Maistry Street (Nadar Lane), Madurai - 625001. **Mangalore:** No. G 4 & G 5, Inland Monarch, Opp. Kambatta Bank, Kaday Main Road, Kadi, Mangalore - 575003. **Mumbai:** Rajabudhr compound, Ground Floor, Opp Alhabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai - 400023. **Mumbai:** 145 Lendia, New Ramaspeth, Nagpur - 440010. **New Delhi:** 7-E, 4th Floor, Deen Dayal Research Institute Building, Swami Ram Tirath Nagar, Near Videocon Tower, Jhandewalan Extension, New Delhi - 110055. **Patna:** G-3, Ground Floor, Om Vihar Complex, SP Verma Road, Patna - 800001. **Pune:** Nirminti Eminence, Off No. 6, 1st Floor, Opp Abhishek Hotel Mehendale Garage Road, Erandawane, Pune - 411004. **Surat:** Plot No 629, 2nd Floor, Opp. No 2-C, Near Mehandhi Tower, Harsiddi Day Hospital, Opp Dhiraj Sons, Athwalines, Surat - 395005. **Vadodara:** 103 Ares Complex, CPC Road, Off R. C. Dutt Road, Adipur, Vadodara - 390007. **Vijayawada:** 40-1-68, Rao & Ratnam Complex, Near Chennai Petrol Pump, M.G Road, Labbipet, Vijayawada - 520010. **Visakhapatnam:** 47/9/17, 1st Floor, 3rd Lane, Dwarka Nagar, Visakhapatnam - 530016.

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