



Registered Office Address: 36-38A, 3rd Floor, Nariman Bhavan, 227, Nariman Point, Mumbai - 400 021. • Telephone: 022-6638-4400 • E-mail: mutual@kotak.com • Website: www.assetmanagement.kotak.com

Investors are requested to note that the soft copy of the half yearly unaudited financial results of the Schemes for the period ended 30th September, 2013 have been disclosed on the website of the Mutual Fund (mutualfund.kotak.com). The unaudited financial results and portfolio of schemes for the period ended on that date, together with the notes thereto, were approved by the Board of Kotak Mahindra Asset Management Company Limited and by the Board of Kotak Mahindra Trustee Company Limited at their meetings held on 29th October, 2013.

Half Yearly Portfolio of the Schemes / Plans as on 30th September, 2013 (Unaudited)

Kotak Bond table with columns: Name of Instrument, ISIN Code, Rating, Quantity, Market Value (Rs.in Lakhs), % to Net Assets. Includes sub-sections for Debt Instruments, Government Dated Securities, Money Market Instruments, and Commercial Paper (CP)/Certificate of Deposits (CD).

Kotak Balance table with columns: Name of Instrument, ISIN Code, Industry/ Rating, Quantity, Market Value (Rs.in Lakhs), % to Net Assets. Includes sub-sections for Debt Instruments, Government Dated Securities, Term Deposits (Placed as margin), and Money Market Instruments.

Kotak Equity Arbitrage Fund table with columns: Name of Instrument, ISIN Code, Industry/ Rating, Quantity, Market Value (Rs.in Lakhs), % to Net Assets. Includes sub-sections for Debt Instruments, Money Market Instruments, Term Deposits (Placed as margin), and Commercial Paper (CP)/Certificate of Deposits (CD).

Kotak FMP Series 115 table with columns: Name of Instrument, ISIN Code, Rating, Quantity, Market Value (Rs.in Lakhs), % to Net Assets. Includes sub-sections for Money Market Instruments and Commercial Paper (CP)/Certificate of Deposits (CD).

Kotak Quarterly Interval Plan - Series 2 table with columns: Name of Instrument, Market Value (Rs.in Lakhs), % to Net Assets. Includes sub-sections for Money Market Instruments and Commercial Paper (CP)/Certificate of Deposits (CD).

Kotak Global Emerging Market Fund table with columns: Name of Instrument, ISIN Code, Industry, Quantity, Market Value (Rs.in Lakhs), % to Net Assets. Includes sub-sections for Overseas Mutual Fund Units, Privately placed / Unlisted, and Money Market Instruments.

Half Yearly Portfolio of the Schemes / Plans as on 30th September, 2013 (Unaudited) (continued)

Kotak Equity Arbitrage Fund (continued)

Name of Instrument	ISIN Code	Industry	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Industrial Development Bank of India Ltd.	Short	61.1613	59.05	64.49	
Jai Prakash Power Ventures Ltd.	Short	16.1234	15.40	52.00	
Jain Irrigation Systems Ltd.	Short	60.3797	58.45	50.89	
Wipro Ltd.	Short	484.4983	479.10	45.49	
Chambal Fertilisers & Chemicals Ltd.	Short	35.3066	36.25	44.86	
Dish TV India Ltd.	Short	49.4872	51.00	41.33	
Punj Lloyd Ltd.	Short	23.0736	23.15	38.28	
Future Retail Ltd.	Short	72.1648	71.60	36.47	
Oriental Bank of Commerce	Short	159.8065	146.60	32.15	
JSW Energy Ltd.	Short	46.1177	44.35	29.69	
HDFC Bank Ltd.	Short	609.336	599.90	28.14	
Jai Prakash Associates Ltd.	Short	38.2288	35.20	23.86	
Reliance Capital Ltd.	Short	345.3453	318.25	21.49	
NHPC Limited	Short	18.95	19.80	20.27	
Reliance Industries Ltd.	Short	854.7664	832.65	19.91	
Ashok Leyland Ltd.	Short	14.9944	15.25	19.39	
Divis Laboratories Limited	Short	992.958	978.00	19.18	
Colgate Palmolive (India) Ltd.	Short	1249.6932	1,251.35	18.15	
Indraprastha Gas Ltd.	Short	282.4312	272.30	17.09	
IRB Infrastructure Developers Ltd.	Short	81.2645	75.65	14.69	
Caim India Limited	Short	322.3749	321.95	13.12	
Gujarat State Petronet Ltd.	Short	51.656	55.35	12.79	
Canara Bank	Short	226.0833	223.40	12.45	
Vijaya Bank	Short	38.1238	36.20	11.88	
Idea Cellular Ltd.	Short	170.2823	170.00	10.32	
Adani Port and Special Economic Zone Ltd.	Short	141.6166	139.50	9.32	
Rural Electrification Corporation Ltd.	Short	196.948	190.30	9.21	
Exide Industries Ltd.	Short	134.3857	130.60	8.60	
GAIL (India) Ltd.	Short	331.2269	331.85	6.77	
Punjab National Bank	Short	487.845	465.00	5.81	
Oil & Natural Gas Corporation Ltd.	Short	281.5611	271.15	4.89	
Tata Power Co. Ltd.	Short	82.0583	82.20	3.85	
IDFC Limited	Short	91.0437	89.10	3.55	
Hexaware Technologies Ltd.	Short	127.2	129.70	3.25	
Tata Chemicals Ltd.	Short	245.5071	239.75	2.64	
Indian Overseas Bank	Short	46.2167	44.60	2.11	
Adani Enterprises Ltd.	Short	147.7166	141.75	1.93	
Reliance Infrastructure Ltd.	Short	41.4	375.05	0.81	
Karnataka Bank Ltd.	Short	89.45	84.15	0.79	
Tata Global Beverages Limited	Short	146.1	144.95	0.46	

Total % age of existing assets hedged through futures (69.43)

6. For the period ended 30th September, 2013 hedging transactions through futures which have been squared off/expired are as follows;

Total Number of contracts where futures were bought	74604
Total Number of contracts where futures were sold	70544
Gross Notional Value of contracts where futures were bought	176,974.72 Lacs
Gross Notional Value of contracts where futures were sold	169,107.75 Lacs
Net Profit/Loss value on all contracts combined	2,254.15 Lacs

Kotak Classic Equity

Name of Instrument	ISIN Code	Industry	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Infosys Ltd.	INE009A01021	Software	20000	602.60	8.97
ITC Ltd.	INE154A01025	Consumer Non Durables	137000	466.35	6.94
Tata Consultancy Services Ltd.	INE467B01029	Software	17300	333.51	4.97
IDR of Standard Chartered PLC	INE028L21018	Banks	245000	317.52	4.73
NMDC Ltd.	INE584A01023	Minerals/Mining	239000	287.04	4.27
HDFC Bank Ltd.	INE040A01026	Banks	47000	278.73	4.15
ICICI Bank Ltd.	INE090A01013	Banks	27000	238.59	3.55
National Thermal Power Corporation Ltd.	INE733E01010	Power	147000	216.75	3.23
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	36500	216.55	3.22
HDFC Ltd.	INE001A01036	Finance	28125	214.95	3.20
Tech Mahindra Ltd.	INE669C01028	Software	14500	193.68	2.88
Reliance Industries Ltd.	INE002A01018	Petroleum Products	22000	180.93	2.69
Hexaware Technologies Ltd.	INE093A01033	Software	140000	179.97	2.68
Cipla Ltd.	INE059A01026	Pharmaceuticals	41000	177.33	2.64
Amara Raja Batteries Ltd.	INE885A01032	Auto Ancillaries	58000	168.66	2.51
Oil And Natural Gas Corporation Ltd.	INE213A01029	Oil	60000	160.71	2.39
Larsen and Toubro Ltd.	INE018A01030	Construction Project	20250	159.72	2.38
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	50000	159.33	2.37
Bosch Ltd.	INE323A01026	Auto Ancillaries	1700	153.83	2.29
Indian Oil Corporation Ltd.	INE242A01010	Petroleum Products	73000	151.73	2.26
Hindustan Petroleum Corporation Ltd.	INE094A01015	Petroleum Products	70000	134.51	2.00
Lupin Ltd.	INE326A01037	Pharmaceuticals	15000	128.38	1.91
Bank Of Baroda	INE028A01013	Banks	22000	108.58	1.62
Brianna Industries Ltd.	INE216A01022	Consumer Non Durables	12550	103.16	1.54
Dabur India Ltd.	INE016A01026	Consumer Non Durables	58500	99.16	1.48
Oriental Bank of Commerce	INE141A01014	Banks	65000	94.09	1.40
Steel Authority of India Ltd.	INE114A01011	Ferrous Metals	172000	85.91	1.28
Canara Bank	INE476A01014	Banks	38750	85.81	1.28
Andhra Bank	INE434A01013	Banks	159000	82.12	1.22
IPCA Laboratories Ltd.	INE571A01020	Pharmaceuticals	10000	71.54	1.07
Volta Ltd.	INE226A01021	Construction Project	96000	70.94	1.06
Hindustan Zinc Ltd.	INE267A01025	Non - Ferrous Metals	50000	66.78	0.99
Crompton Greaves Ltd.	INE067A01029	Industrial Capital Goods	3000	62.82	0.94
Allahabad Bank	INE428A01015	Banks	66000	50.16	0.75
Punjab National Bank	INE160A01014	Banks	10000	46.15	0.69
Corporation Bank	INE112A01015	Banks	18000	43.86	0.65
Chennai Petroleum Corporation Ltd.	INE178A01016	Petroleum Products	50000	27.75	0.41
Bharat Heavy Electricals Ltd.	INE257A01026	Industrial Capital Goods	20000	27.48	0.41
Total				6,247.68	93.02
Other than Hedging Positions through Futures				96.04	1.43
Total				6,343.72	94.45
Term Deposits (Placed as margin)					
Bank					
Kotak Mahindra Bank Ltd.	91 Days		100.00	1.49	
Kotak Mahindra Bank Ltd.	95 Days		100.00	1.49	
Total				200.00	2.98
Collateral Borrowing & Lending obligation				300.00	4.47
Net Current Assets/(Liabilities)				(128.17)	(1.90)
Grand Total				6,715.55	100.00

- Notes :**
- Total value of illiquid equity shares and percentage to Net Assets : Nil
 - For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.
 - Portfolio Turnover Ratio : 60.87%
 - Other than Hedging Positions through Futures as on 30th September, 2013

Underlying	Long/Short	Futures Price When purchased	Futures Price of the Contract	Current Price of the Contract	Margin Maintained
Bharat Heavy Electricals Ltd.	Long	146.3529		137.20	29.12
Total % age of existing assets hedged through futures					1.43

5 For the period ended 30th September, 2013 hedging transactions through futures which have been squared off/expired are as follows;

Total Number of contracts where futures were bought	738
Total Number of contracts where futures were sold	738
Gross Notional Value of contracts where futures were bought	1527.53 Lacs
Gross Notional Value of contracts where futures were sold	1577.62 Lacs
Net Profit/Loss value on all contracts combined	50.09 Lacs

6 For the period ended 30th September, 2013 other than hedging transactions through futures which have been squared off/expired are as follows;

Total Number of contracts where futures were bought	341
Total Number of contracts where futures were sold	341
Gross Notional Value of contracts where futures were bought	984.43 Lacs
Gross Notional Value of contracts where futures were sold	978.28 Lacs
Net Profit/Loss value on all contracts combined	(6.15) Lacs

7 For the period ended 30th September, 2013 other than hedging transactions through options which have already been exercised/expired are as follows;

Total Number of contracts entered into	1160
Gross Notional Value of contracts	3,306.00 Lacs
Net Profit/Loss value on all contracts	41.31 Lacs

Kotak Bond Short Term Plan

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Debt Instruments					
Debentures and Bonds					
Listed/Awaiting listing on Stock Exchange					
8.95% Power Finance Corporation Ltd.	INE134E08FK4	CRISILAAA	2073	20,116.95	9.88
8.81% HDB Financial Services Ltd.**	INE756I07241	CRISILAAA	1000	9,758.92	4.79
9.56% HDB Financial Services Ltd.**	INE756I07225	CRISILAAA	800	7,911.18	3.89
9.10% Sterlite Industries (India) Ltd	INE268A07145	CRISILAAA+	800	7,637.35	3.75
10.60% Mandava Holdings Pvt. Ltd.**	INE689L07032	BRICKWORK BWRAAA(SO)	750	7,498.04	3.68
10.50% Raymond Ltd.**	INE301A08340	CARECAREAA-	750	7,425.62	3.65
9.50% HDFC Ltd.**	INE01A07W4	CRISILAAA	500	4,898.77	2.41
9.60% Cholamandalam Investment and Finance Company Ltd**	INE121A07GN3	ICRAAA	500	4,830.46	2.37
8.40% LIC Housing Finance Ltd.**	INE115A07EB5	CRISILAAA	500	4,724.66	2.32
9.60% Cholamandalam Investment and Finance Company Ltd**	INE121A07GM5	ICRAAA	400	3,900.22	1.92
ZCB Sundaram BNP Paribas Home Finance Ltd**	INE667F07988	CAREAAA+	300	3,348.35	1.64
8.34% LIC Housing Finance Ltd.**	INE115A07DZ6	CRISILAAA	350	3,287.49	1.61
9.85% HDFC Ltd.**	INE001A07L7	CRISILAAA	300	2,999.66	1.47
8.49% IDFC Limited**	INE043D07E1	ICRAAAA	310	2,996.43	1.47
8.54% HDB Financial Services Ltd.**	INE756I07266	CAREAAA	300	2,890.36	1.42
9.55% HDFC Ltd.**	INE001A07H3	CRISILAAA	250	2,482.05	1.22
8.95% Reliance Utilities And Power Pvt. Ltd.**	INE936D07067	CRISILAAA	250	2,292.09	1.13
11.10% Raymond Ltd.**	INE301A08332	CARECAREAA-	200	1,989.77	0.98
9.36% IDFC Limited**	INE043D07B7	ICRAAAA	200	1,983.56	0.97
ZCB Tata Motors Finance Ltd**	INE909H07768	CRISILAAA-	210	1,956.53	0.96
12.60% Shriram Transport Finance Co. Ltd.**	INE721A08122	FTCHAAA(Ind)	1600	1,613.58	0.79
10.53% Power Finance Corporation Ltd.**	INE134E08ER2	CRISILAAA	130	1,297.82	0.64
10.5704% Aditya Birla Finance Ltd.**	INE860H07193	ICRAAA	130	1,293.29	0.64
ZCB IDFC Limited**	INE043D07DR1	ICRAAAA	110	1,047.15	0.51
9.65% HDFC Ltd.**	INE001A07HD6	CRISILAAA	100	996.37	0.49
9.29% Power Finance Corporation Ltd.**	INE134E08EX0	CRISILAAA	60	588.94	0.29
9.50% Export-Import Bank of India.**	INE514E08589	CRISILAAA	50	499.18	0.25
9.90% LIC Housing Finance Ltd.**	INE115A07CE3	CRISILAAA	50	498.55	0.24
10.57% LIC Housing Finance Ltd.**	INE115A07EG4	CRISILAAA	30	303.21	0.15
8.29% Power Finance Corporation Ltd.**	INE134E08F13	CRISILAAA	20	195.51	0.10
8.10% Export-Import Bank of India.**	INE514E08738	CRISILAAA	15	146.76	0.07
9.52% IDFC Limited**	INE043D07BQ7	ICRAAAA	11	109.26	0.05
11.50% Shriram Transport Finance Co. Ltd.**	INE721A08729	FTCHAAA(Ind)	50	50.38	0.02
9.63% Power Finance Corporation Ltd.**	INE134E08DY0	CRISILAAA	1	9.94	#
Total				113,578.40	55.77
Privately placed / Unlisted **					
10.60% Mandava Holdings Private Limited	INE689L07024	BRICKWORK BWRAAA(SO)	900	8,989.48	4.41
9.84% Tata Sons Ltd.	INE895D08386	CRISILAAA	30	299.38	0.15
Total				9,288.86	4.56
Government Dated Securities					
Listed/Awaiting listing on Stock Exchange					
8.12% Government Stock - 2020	IN0020120054	SOV	200000	1,900.20	0.93
8.20% Government Stock - 2025	IN0020120047	SOV	150000	1,385.25	0.68
Total				3,285.45	1.61
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
D Oriental Bank of Commerce	INE141A16MR1	CRISILA+	13000	11,888.84	5.84
CD State Bank of Hyderabad	INE69AA16K5	CRISILA+	11000	10,059.79	4.94
CD The South Indian Bank Ltd.	INE683A16BB9	CRISILA+	10000	9,552.78	4.69
CD IDBI Bank Ltd.	INE008A16NE8	ICRAA+	7000	6,815.60	3.35
CD State Bank of Mysore	INE651A16FE1	CRISILA+	7300	6,677.31	3.28
CD ICICI Bank Ltd.	INE00A16YC7	ICRAA+	2500	2,407.41	1.18
CD Andhra Bank	INE434A16K2	CRISILA+	1900	1,731.63	0.85
CD AXIS Bank Ltd.	INE28A16SB6	CRISILA+	1500	1,435.43	0.70
CPSTICI Finance Limited	INE00E14BQ0	ICRAA+	140	671.21	0.33
CD State Bank of Bikaner & Jaipur	INE64A16G36	CRISILA+	300	274.67	0.13
CD ICICI Bank Ltd.	INE00A16E34	ICRAA+	300	272.87	0.13
Total				51,787.54	25.42
Treasury Bills / Cash Management Bills **					
TB 182 Days Treasury Bill 30/01/2014	IN002013Y095	SOV	511000	495.36	0.24
Total				495.36	0.24
Collateral Borrowing & Lending obligation				10,750.00	5.28
Net Current Assets/(Liabilities)				14,433.51	7.12
Grand Total				203,619.12	100.00

Notes :

- Average Maturity of the portfolio : 1.97 Years
- For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.
- ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak Quarterly Interval Plan - Series 6

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD AXIS Bank Ltd.	INE28A16SQ4	CRISILA+	4800	4,751.87	29.60
CD IDBI Bank Ltd.	INE008A16RN0	CRISILA+	90	8	

Half Yearly Portfolio of the Schemes / Plans as on 30th September, 2013 (Unaudited) (continued)

Kotak Nifty ETF					
Name of Instrument	ISIN Code	Industry	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Equity & Equity related Listed/Awaiting listing on Stock Exchange					
ITC Ltd.	INE154A01025	Consumer Non Durables	104735	356.52	10.01
Infosys Ltd.	INE009A01021	Software	9183	276.68	7.77
Reliance Industries Ltd.	INE002A01018	Petroleum Products	31288	257.31	7.23
HDFC Ltd.	INE001A01036	Finance	29656	226.65	6.37
HDFC Bank Ltd.	INE040A01026	Banks	35175	208.61	5.86
ICICI Bank Ltd.	INE090A01013	Banks	21982	194.24	5.46
Tata Consultancy Services Ltd.	INE467B01029	Software	9708	187.15	5.26
Larsen and Toubro Ltd.	INE018A01030	Construction Project	15492	122.19	3.43
Tata Motors Ltd.	INE155A01022	Auto	34219	113.78	3.20
Oil And Natural Gas Corporation Ltd.	INE213A01029	Oil	33701	90.27	2.54
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	14327	85.00	2.39
Hindustan Unilever Ltd.	INE030A01027	Consumer Non Durables	13475	84.55	2.38
State Bank of India.	INE062A01012	Banks	4909	79.28	2.23
Mahindra & Mahindra Ltd.	INE101A01026	Auto	8757	72.46	2.04
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	22666	72.23	2.03
AXIS Bank Ltd.	INE238A01026	Banks	5904	59.50	1.67
Wipro Ltd.	INE075A01022	Software	12424	58.77	1.65
National Thermal Power Corporation Ltd.	INE733E01010	Power	39261	57.89	1.63
Dr.Reddy's Laboratories Ltd.	INE089A01023	Pharmaceuticals	2413	57.46	1.61
HCL Technologies Ltd.	INE860A01027	Software	5062	55.03	1.55
Bajaj Auto Ltd.	INE91701010	Auto	2556	50.80	1.43
Kotak Mahindra Bank Ltd.	INE237A01028	Banks	7221	48.97	1.38
Sesa Sterilite Ltd.	INE205A01025	Minerals/Mining	23541	42.55	1.20
Cipla Ltd.	INE059A01026	Pharmaceuticals	9665	41.80	1.17
Asian Paints (India) Ltd.	INE021A01026	Consumer Non Durables	8626	39.61	1.11
Lupin Ltd.	INE326A01037	Pharmaceuticals	4538	38.84	1.09
Hero MotoCorp Ltd.	INE158A01026	Auto	1818	36.53	1.03
Cairn India Ltd.	INE910H01017	Oil	11265	35.92	1.01
Coal India Ltd.	INE522F01014	Minerals/Mining	12031	35.43	1.00
Ultratech Cement Ltd.	INE481G01011	Cement	1935	35.01	0.98
Tata Steel Ltd.	INE081A01012	Ferrous Metals	12698	34.48	0.97
Maruti Suzuki India Ltd.	INE585B01010	Auto	2520	34.24	0.96
Grasim Industries Ltd.	INE047A01013	Cement	1211	32.04	0.90
IndusInd Bank Ltd.	INE095A01012	Banks	8083	29.81	0.84
GAIL (India) Ltd.	INE129A01019	Gas	8556	28.05	0.79
Hindalco Industries Ltd.	INE038A01020	Non - Ferrous Metals	24498	27.41	0.77
Ambuja Cements Ltd.	INE079A01024	Cement	14551	26.56	0.75
Power Grid Corporation of India Ltd.	INE752E01010	Power	26964	26.44	0.74
Tata Power Company Ltd.	INE245A01021	Power	30523	24.77	0.70
IDFC Ltd.	INE043D01016	Finance	23571	20.75	0.58
Bharat Heavy Electricals Ltd.	INE257A01026	Industrial Capital Goods	15049	20.68	0.58
ACC Ltd.	INE012A01025	Cement	1778	19.77	0.56
NMDC Ltd.	INE584A01023	Minerals/Mining	15100	18.14	0.51
Bank Of Baroda	INE028A01013	Banks	3577	17.65	0.50
Jindal Steel & Power Ltd.	INE749A01030	Ferrous Metals	7278	17.14	0.48
Bharat Petroleum Corporation Ltd.	INE029A01011	Petroleum Products	4921	16.30	0.46
Punjab National Bank	INE160A01014	Banks	2836	13.09	0.37
DLF Ltd.	INE271C01023	Construction	8481	10.86	0.31
Ranbaxy Laboratories Ltd.	INE015A01028	Pharmaceuticals	2941	9.71	0.27
Jaiprakash Associates Ltd.	INE455F01025	Cement	23193	8.09	0.23
Total				3,557.01	99.98
Net Current Assets/(Liabilities)				2.91	0.02
Grand Total				3,559.92	100.00

Notes :
1. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.

Kotak Flexi Debt					
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Debt Instruments Debentures and Bonds** Listed/Awaiting listing on Stock Exchange					
ZCB Indiabulls Housing Finance Ltd.	INE14807316	CAREAA+	600	6,209.42	6.64
ZCB Indiabulls Housing Finance Limited	INE894F07725	CAREAA+	500	5,304.02	5.67
9.00% Vizag General Cargo Berth Pvt. Ltd.	INE905007010	CRISILAA+(SO)	500	4,886.74	5.23
11.15% Magma Fincorp Limited	INE511C07110	CAREAA+	350	3,497.13	3.74
10.80% Indiabulls Housing Finance Ltd.	INE894F07311	CAREAA+	200	1,997.14	2.14
10.10% Sankar BNP Paribas Home Finance Ltd.	INE667F07980	CAREAA+	150	1,496.00	1.60
10.23% Shriram Transport Finance Co Ltd.	INE721A07C65	CAREAA+	100	999.33	1.07
10.35% Sankar BNP Paribas Home Finance Ltd.	INE667F07527	ICRAAA	100	997.35	1.07
11.45% Reliance Industries Ltd.	INE002A07718	CRISILAAA	50	499.74	0.53
10.27% Sankar BNP Paribas Home Finance Ltd.	INE667F07311	CARECAREAA+	50	498.48	0.53
9.50% Export-Import Bank of India.	INE514E08589	CRISILAAA	5	49.92	0.05
11.00% Shriram Transport Finance Co Ltd.	INE721A07952	FTCHAA(ind)	148500	29.87	0.03
Total				26,465.14	28.30
Privately placed / Unlisted					
11.00% Bahadur Chand Investments Pvt. Ltd.	INE087M07045	ICRAAA	63	6,270.86	6.71
9.75% L & T Seawood Pvt Ltd.	INE968N08059	CAREAA+(SO)	600	5,923.43	6.33
10.25% L & T Seawood Pvt Ltd.	INE968N08018	CAREAA+(SO)	500	4,983.04	5.33
11.90% Asian Satellite Broadcast Pvt. Ltd.	INE283007020	BRCKWORKBWA(SO)	25	2,491.30	2.66
Total				19,668.63	21.03
Money Market Instruments Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CP Shapoorji Pallonji & Co. Limited	INE40K14505	ICRAA1+	2000	9,645.63	10.31
CP Shapoorji Pallonji & Co. Limited	INE40K14562	ICRAA1+	1000	4,885.95	5.22
CP Indostar Capital Finance Pvt. Ltd.	INE896L14104	ICRAA1+	1000	4,878.22	5.22
CD The South Indian Bank Ltd.	INE683A16A04	CAREAA+	5000	4,799.81	5.13
CP Essel Mining & Industries Ltd.	INE077E14577	ICRAA1+	500	2,447.62	2.62
CD Karur Vysya Bank Ltd.	INE036D16DR6	CRISILA1+	1000	934.61	1.00
Total				27,591.84	29.50
Treasury Bills / Cash Management Bills **					
TB 38 Days Cash Management Bill 14/10/2013	IN002013U135	SOV	1500000	14,944.05	15.98
Total				14,944.05	15.98
Collateral Borrowing & Lending obligation				1,700.00	1.82
Net Current Assets/(Liabilities)				3,152.81	3.37
Grand Total				93,522.47	100.00

Notes :
1. Average Maturity of the portfolio : 0.59 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak Quarterly Interval Plan - Series 5					
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Collateral Borrowing & Lending obligation				216.00	98.90
Total				216.00	98.90
Net Current Assets/(Liabilities)				2.41	1.10
Grand Total				218.41	100.00

Notes :
1. Average Maturity of the portfolio : 1 Day
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.

Kotak Opportunities					
Name of Instrument	ISIN Code	Industry / Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Equity & Equity related Listed/Awaiting listing on Stock Exchange					
Tata Consultancy Services Ltd.	INE467B01029	Software	21000	4,048.38	7.06
Infosys Ltd.	INE009A01021	Software	12000	3,615.60	6.31
ICICI Bank Ltd.	INE090A01013	Banks	39000	3,446.24	6.01
Reliance Industries Ltd.	INE002A01018	Petroleum Products	38000	3,125.12	5.45
ITC Ltd.	INE154A01025	Consumer Non Durables	74000	2,518.96	4.40
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	35000	2,076.55	3.62
Idea Cellular Ltd.	INE669E01016	Telecom - Services	120000	2,019.00	3.52
Tata Motors Ltd.	INE155A01022	Auto	60000	1,995.00	3.48
HDFC Ltd.	INE001A01036	Finance	23500	1,795.99	3.13
Zee Entertainment Enterprises Ltd.	INE256A01028	Media and Entertainment	77500	1,770.88	3.09
HDFC Bank Ltd.	INE040A01026	Banks	29850	1,770.25	3.09
IndusInd Bank Ltd.	INE095A01012	Banks	47500	1,751.56	3.06
Cairn India Ltd.	INE910H01017	Oil	50000	1,594.25	2.78
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	50000	1,593.25	2.78
Motherhood Sumi Systems Ltd.	INE775A01035	Auto Ancillaries	63300	1,455.58	2.54
HCL Technologies Ltd.	INE860A01027	Software	13000	1,413.36	2.47
Maruti Suzuki India Ltd.	INE585B01010	Auto	9000	1,222.70	2.13
Hathway Cable & Datacom Ltd.	INE982F01028	Media and Entertainment	349984	1,003.40	1.75
Bajaj Auto Ltd.	INE91701010	Auto	5000	993.73	1.73
Oil And Natural Gas Corporation Ltd.	INE213A01029	Oil	32500	870.51	1.52
Tata Global Beverages Ltd.	INE192A01025	Consumer Non Durables	60000	863.10	1.51
Nestle India Ltd.	INE239A01016	Consumer Non Durables	16000	835.13	1.46
Tech Mahindra Ltd.	INE669C01028	Software	6200	828.17	1.45
Lupin Ltd.	INE326A01037	Pharmaceuticals	85327	730.27	1.27
State Bank of India.	INE062A01012	Banks	4000	645.96	1.13
Dr.Reddy's Laboratories Ltd.	INE089A01023	Pharmaceuticals	27100	645.29	1.13
Wipro Ltd.	INE075A01022	Software	13000	614.97	1.07
Petronet LNG Ltd.	INE347G01014	Gas	50000	593.50	1.04
Shree Cement Ltd.	INE070A01015	Cement	14285	589.06	1.03
Divis Laboratories Ltd.	INE361B01024	Pharmaceuticals	6000	582.21	1.02
YES Bank Ltd.	INE528G01019	Banks	20000	574.70	1.00
Indraprastha Gas Ltd.	INE203G01019	Gas	21000	565.64	0.99
Prestige Estates Projects Ltd.	INE811K01011	Construction	472558	555.02	0.97
Bharat Petroleum Corporation Ltd.	INE029A01011	Petroleum Products	165000	546.56	0.95
Mahindra & Mahindra Ltd.	INE101A01026	Auto	6500	537.84	0.94
AXIS Bank Ltd.	INE238A01026	Banks	5000	503.93	0.88
MRF Ltd.	INE883A01011	Auto Ancillaries	3000	401.55	0.70
Sun TV Network Ltd.	INE424H01027	Media and Entertainment	10000	393.20	0.69
Cummins India Ltd.	INE298A01020	Industrial Products	9000	367.43	0.64
Bosch Ltd.	INE323A01026	Auto Ancillaries	3944	356.88	0.62
Hindustan Unilever Ltd.	INE030A01027	Consumer Non Durables	5000	313.73	0.55
Bata India Ltd.	INE176A01010	Consumer Durables	3500	299.79	0.52
Larsen and Toubro Ltd.	INE018A01030	Construction Project	3500	276.06	0.48
IPCA Laboratories Ltd.	INE571A01020	Pharmaceuticals	34012	243.32	0.42
The Ramco Cements Ltd.	INE331A01037	Cement	12000	214.02	0.37
Federal Bank Ltd.	INE171A01011	Banks	7500	213.45	0.37
Britannia Industries Ltd.	INE126A01022	Consumer Non Durables	25237	207.45	0.36
Sobha Developers Ltd.	INE671H01015	Construction	7000	196.77	0.34
Whirlpool of India Ltd.	INE716A01013	Consumer Durables	102984	157.41	0.27
Emami Ltd.	INE548C01032	Consumer Non Durables	18151	88.03	0.15
Total				54,020.75	94.24
Privately placed / Unlisted					
SRM Radiant Infotech Ltd.S	INE624B01017	Software	20000	#	#
Virtual Dynamics Software Ltd.S	INE406B01019	Software	20000	#	#
Total				#	#
Hedging Positions through Futures					
Total				(2,298.32)	(4.02)
Term Deposits (Placed as margin)					
Bank					
Kotak Mahindra Bank Ltd.		92 Days		350.00	0.61
Kotak Mahindra Bank Ltd.		91 Days		300.00	0.52
Kotak Mahindra Bank Ltd.		93 Days		200.00	0.35
Kotak Mahindra Bank Ltd.		95 Days		200.00	0.35
Total				1,050.00	1.83
Collateral Borrowing & Lending obligation					
Net Current Assets/(Liabilities)				2,600.00	4.54
Grand Total				57,311.27	100.00

Kotak FMP Series 117					
Name of Instrument	ISIN Code	Rating			

Half Yearly Portfolio of the Schemes / Plans as on 30th September, 2013 (Unaudited) (continued)

Kotak Midcap					
Name of Instrument	ISIN Code	Industry	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Equity & Equity related Listed/Awaiting listing on Stock Exchange					
Keval Kiran Clothing Ltd.	INE401H0107	Textile Products	9436	770.88	3.55
Federal Bank Ltd.	INE171A01011	Banks	250986	714.31	3.29
Solar Industries India Ltd.	INE343H01011	Chemicals	76093	708.05	3.26
Whirlpool of India Ltd.	INE716A01013	Consumer Durables	455811	696.71	3.21
Infotech Enterprises Ltd.	INE136B01020	Software	279680	595.16	2.74
Shree Cement Ltd.	INE070A01015	Cement	13695	564.73	2.60
Divis Laboratories Ltd.	INE361B01024	Pharmaceuticals	58000	562.80	2.59
Torrent Pharmaceuticals Ltd.	INE685A01028	Pharmaceuticals	126824	555.74	2.56
Tech Mahindra Ltd.	INE669C01028	Software	41000	547.66	2.52
ING Vysya Bank Ltd.	INE166A01011	Banks	92751	496.91	2.29
Bata India Ltd.	INE176A01010	Consumer Durables	58000	496.80	2.29
Navneet Education Ltd.	INE060A01024	Media and Entertainment	923569	488.57	2.25
Britannia Industries Ltd.	INE216A01022	Consumer Non Durables	58293	479.17	2.21
Exide Industries Ltd.	INE302A01020	Auto Ancillaries	370000	478.60	2.20
Hawkins Cooker Ltd.	INE979B01015	Household Appliances	27741	477.95	2.20
Raymond Ltd.	INE301A01014	Textile Products	205634	469.46	2.16
MRF Ltd.	INE883A01011	Auto Ancillaries	3488	466.87	2.15
SKF India Ltd.	INE40A01023	Industrial Products	89779	452.22	2.08
D.B. Corp Ltd.	INE950M01011	Media and Entertainment	181392	440.60	2.03
Aditya Birla Nuvo Ltd.	INE069A01017	Services	34000	422.35	1.94
YES Bank Ltd.	INE285G01019	Banks	146807	421.85	1.94
Persistent Systems Ltd.	INE262H01013	Software	62106	393.38	1.81
Glenmark Pharmaceuticals Ltd.	INE935A01035	Pharmaceuticals	72524	385.07	1.77
Graphite India Ltd.	INE371A01025	Industrial Products	503134	378.36	1.74
Oracle Financial Services Software Ltd.	INE881D01027	Software	12000	368.87	1.70
ICICI Bank Ltd.	INE090A01013	Banks	41000	362.30	1.67
Bharat Forge Ltd.	INE465A01025	Industrial Products	139331	349.09	1.61
Gujarat Mineral Development Corporation Ltd.	INE131A01031	Minerals/Mining	379577	341.24	1.57
Sun TV Network Ltd.	INE424H01027	Media and Entertainment	85057	334.44	1.54
VST Industries Ltd.	INE710A01016	Consumer Non Durables	22182	333.04	1.53
Emami Ltd.	INE548C01032	Consumer Non Durables	67793	328.80	1.51
GlaxoSmithKline Consumer Healthcare Ltd.	INE264A01014	Consumer Non Durables	7579	328.71	1.51
IPCA Laboratories Ltd.	INE571A01020	Pharmaceuticals	45856	328.05	1.51
LIC Housing Finance Ltd.	INE115A01026	Finance	161632	303.79	1.40
AXIS Bank Ltd.	INE238A01026	Banks	29500	297.32	1.37
IndusInd Bank Ltd.	INE095A01012	Banks	79730	294.00	1.35
Jammu & Kashmir Bank	INE168A01017	Banks	25167	286.56	1.32
Oil India Ltd.	INE274J01014	Oil	64029	280.06	1.29
Container Corporation of India Ltd.	INE111A01017	Transportation	38569.5	276.60	1.27
MindTree Ltd.	INE018I01017	Software	22492	274.20	1.26
Indian Bank	INE562A01011	Banks	397148	267.68	1.23
Oriental Bank of Commerce	INE141A01014	Banks	178335	258.14	1.19
Lupin Ltd.	INE326A01037	Pharmaceuticals	29020	248.37	1.14
IL & FS Transportation Networks Ltd.	INE975G01012	Transportation	226935	232.15	1.07
Zuari Agro Chemicals Ltd.	INE840M01016	Fertilisers	272881	230.04	1.06
Tetrapac Rail & Engineering Ltd.	INE621L01012	Industrial Capital Goods	751721	218.00	1.00
Indraprastha Gas Ltd.	INE203G01019	Gas	80918	217.95	1.00
Bajaj Finance Ltd.	INE296A01016	Finance	18243	212.62	0.98
Union Bank of India	INE692A01016	Banks	182000	199.56	0.92
The Ramco Cements Ltd.	INE331A01037	Cement	110425	196.94	0.91
Akzo Nobel India Ltd.	INE133A01011	Consumer Non Durables	23741	194.89	0.90
Hindustan Media Ventures Ltd.	INE871K01015	Media and Entertainment	160508	176.32	0.81
Syrotec ABS (India) Ltd.	INE189B01011	Chemicals	40604	153.18	0.71
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	48000	152.95	0.70
Hindustan Petroleum Corporation Ltd.	INE094A01015	Petroleum Products	76000	146.03	0.67
Cummins India Ltd.	INE298A01020	Industrial Products	30000	122.48	0.56
Grasim Industries Ltd.	INE047A01013	Cement	4558	120.61	0.56
Zee Entertainment Enterprises Ltd.	INE226A01028	Media and Entertainment	47485	108.50	0.50
Supreme Industries Ltd.	INE195A01028	Industrial Products	29377	99.29	0.46
Volta Ltd.	INE226A01021	Construction Project	126040	93.14	0.43
United Spirits Ltd.	INE584D01016	Consumer Non Durables	3282	83.14	0.38
Zuari Global Ltd.	INE217A01012	Fertilisers	84591	45.64	0.21
Total				21,328.89	98.18
Term Deposits (Placed as margin)					
Bank	Duration				
Kotak Mahindra Bank Ltd.	93 Days			125.00	0.58
Total				125.00	0.58
Collateral Borrowing & Lending obligation				2000.00	0.92
Net Current Assets/(Liabilities)				64.29	0.32
Grand Total				21,718.18	100.00

Kotak Banking and PSU Debt Fund					
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD Bank of Maharashtra	INE457A16CT8	CRISIL A1+	2500	2,498.62	10.91
CP Export-Import Bank of India.	INE514E14FB4	CRISIL A1+	500	2,476.65	10.82
CD State Bank of Hyderabad	INE649A16EF5	CRISIL A1+	2500	2,472.48	10.80
CD Allahabad Bank	INE428A16KQ8	ICRA A+	2500	2,471.60	10.79
CD Syndicate Bank	INE667A16CM7	CRISIL A1+	2500	2,464.36	10.76
CD State Bank of Patiala	INE652A16EP8	CRISIL A1+	2500	2,460.09	10.74
Total				14,843.80	64.82
Collateral Borrowing & Lending obligation				8,000.00	34.93
Net Current Assets/(Liabilities)				56.05	0.25
Grand Total				22,899.85	100.00

Kotak Quarterly Interval Plan - Series 8					
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD Vijaya Bank	INE705A16HV5	CRISIL A1+	40	39.34	28.85
CD ICICI Bank Ltd.	INE090A16XC9	ICRA A1+	40	39.27	28.80
Total				78.61	57.65
Net Current Assets/(Liabilities)				57.74	42.35
Grand Total				136.35	100.00

Kotak Multi Asset Allocation Fund					
Name of Instrument	ISIN Code	Industry / Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Equity & Equity related Listed/Awaiting listing on Stock Exchange					
ITC Ltd.	INE154A01025	Consumer Non Durables	38000	129.35	1.25
Infosys Ltd.	INE009A01021	Software	3900	117.51	1.14
ICICI Bank Ltd.	INE090A01013	Banks	13000	114.87	1.11
Tata Consultancy Services Ltd.	INE467B01029	Software	5400	104.10	1.01
Reliance Industries Ltd.	INE002A01018	Petroleum Products	12500	102.80	0.99
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	12500	74.16	0.72
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	20000	63.73	0.62
IndusInd Bank Ltd.	INE095A01012	Banks	17000	62.69	0.61
Caim India Ltd.	INE910H01017	Oil	18500	58.99	0.57
HDFC Ltd.	INE001A01036	Finance	7000	53.50	0.52
HDFC Bank Ltd.	INE040A01026	Banks	9000	53.37	0.52
Keval Kiran Clothing Ltd.	INE401H01017	Textile Products	5993	48.92	0.47
Motherson Sumi Systems Ltd.	INE775A01035	Auto Ancillaries	21000	48.29	0.47
Hawkins Cooker Ltd.	INE979B01015	Household Appliances	2494	42.97	0.42
Whirlpool of India Ltd.	INE716A01013	Consumer Durables	26392	40.34	0.39
Bajaj Auto Ltd.	INE917H01010	Auto	2000	39.75	0.38
HCL Technologies Ltd.	INE860A01027	Software	3500	38.05	0.37
Solar Industries India Ltd.	INE343H01011	Chemicals	4000	37.22	0.36
MRF Ltd.	INE883A01011	Auto Ancillaries	250	33.46	0.32
Maruti Suzuki India Ltd.	INE585B01010	Auto	2400	32.61	0.32
Zee Entertainment Enterprises Ltd.	INE256A01028	Media and Entertainment	14000	31.99	0.31
Dr.Reddy's Laboratories Ltd.	INE089A01023	Pharmaceuticals	1200	28.57	0.28
State Bank of India.	INE062A01012	Banks	1500	24.22	0.23
Wipro Ltd.	INE075A01022	Software	5000	23.65	0.23
Shree Cement Ltd.	INE070A01015	Cement	550	22.68	0.22
ING Vysya Bank Ltd.	INE166A01011	Banks	4200	22.50	0.22
Tata Motors Ltd.	INE155A01022	Auto	6500	21.61	0.21
AXIS Bank Ltd.	INE238A01026	Banks	2100	21.16	0.20
Nestle India Ltd.	INE239A01016	Consumer Non Durables	400	20.88	0.20
Mahindra & Mahindra Ltd.	INE101A01026	Auto	2500	20.69	0.20
Cummins India Ltd.	INE298A01020	Industrial Products	5000	20.41	0.20
Federal Bank Ltd.	INE171A01011	Banks	6500	18.50	0.18
Bosch Ltd.	INE323A01026	Auto Ancillaries	200	18.10	0.18
Larsen and Toubro Ltd.	INE018A01030	Construction Project	2000	15.78	0.15
Sun TV Network Ltd.	INE424H01027	Media and Entertainment	2700	10.62	0.10
Hindustan Media Ventures Ltd.	INE871K01015	Media and Entertainment	7165	7.87	0.08
Total				1,651.59	16.00
Mutual Fund Units					
Exchange Traded Funds					
Listed/Awaiting listing on Stock Exchange					
Kotak Gold ETF	INF373M01015	Exchange Traded Funds	25889	737.60	7.13
Total				737.60	7.13
Debt Instruments					
Debentures and Bonds**					
Listed/Awaiting listing on Stock Exchange					
10.40% Reliance Ports And Terminals Ltd.	INE941D07125	CRISIL AAA	150	1,533.85	14.84
10.70% Tata Capital Housing Finance Ltd.	INE033L07660	CRISIL AAA	100	1,002.38	9.70
10.53% Power Finance Corporation Ltd.	INE134E08ER2	CRISIL AAA	20	199.67	1.93
Total				2,735.90	26.47
Government Dated Securities					
Listed/Awaiting listing on Stock Exchange					
8.12% Government Stock - 2020	IN0020120054	SOV	2200000	2,090.22	20.22
Total				2,090.22	20.22
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD State Bank of Bikaner & Jaipur	INE648A16GJ6	CRISIL A1+	1600	1,464.91	14.17
CD Andhra Bank	INE434A16EK2	CRISIL A1+	800	729.11	7.05
CD State Bank of Mysore	INE651A16FE1	CRISIL A1+	200	182.94	1.77
Total				2,376.96	22.99
Collateral Borrowing & Lending obligation				600.00	5.80
Total				600.00	5.80
Net Current Assets/(Liabilities)				145.76	1.39
Grand Total				10,338.03	100.00

Kotak Sensex ETF					
Name of Instrument	ISIN Code	Industry	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Equity & Equity related Listed/Awaiting listing on Stock Exchange					
ITC Ltd.	INE154A01025	Consumer Non Durables	19090	64.96	11.31
Infosys Ltd.	INE009A01021	Software	1681	50.69	8.82
Reliance Industries Ltd.	INE002A01018	Petroleum Products	6121	50.34	8.76
HDFC Ltd.	INE001A01036	Finance	5356	40.90	7.12
HDFC Bank Ltd.	INE040A01026	Banks	6587	39.11	6.81
Tata Consultancy Services Ltd.	INE467B01029	Software	2023	38.98	6.78
ICICI Bank Ltd.	INE090A01013	Banks	3977	35.19	6.13
Hindustan Unilever Ltd.	INE030A01027	Consumer Non Durables	3725	23.37	4.07
Larsen and Toubro Ltd.	INE018A01030	Construction Project	2870	22.63	3.94
Tata Motors Ltd.	INE155A01022	Auto	6497	21.59	3.76
Oil And Natural Gas Corporation Ltd.	INE213A01029	Oil	7368	19.73	3.43
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	2853	16.91	2.94
State Bank Of India.	INE062A01012	Banks	943	15.23	2.65
Mahindra & Mahindra Ltd.	INE101A01026	Auto	1591	13.17	2.29
Bharti Airtel Ltd.	INE397D01024	Telecom - Services	4132	1	

Half Yearly Portfolio of the Schemes / Plans as on 30th September, 2013 (Unaudited) (continued)

Kotak Floater Long Term					
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Debt Instruments					
Debentures and Bonds**					
Listed/Awaiting listing on Stock Exchange					
8.90% Tata Capital Financial Services Ltd.	INE306N07716	CRISILAA+	1000	9,967.54	4.24
11.00% Reliance Media Works Limited	INE540B07012	CAREAAA(SO)	959	9,558.95	4.07
8.90% Tata Capital Housing Finance Co.Ltd.	INE033L07629	CRISILAA+	500	4,990.02	2.12
10.30% Shriram Transport Finance Co.Ltd.	INE721A07CH1	CAREAAA+	500	4,964.63	2.11
10.25% Shriram Transport Finance Co.Ltd.	INE721A07BM3	CAREAAA+	200	1,988.83	0.85
ZCB Indiabulls Housing Finance Limited	INE148H07316	CAREAAA+	100	1,034.90	0.44
ZCB Shriram Transport Finance Co.Ltd.	INE721A07CC2	CAREAAA+	70	686.23	0.29
10.77% Cholamandalam Investment and Finance Company Ltd.	INE121A07FNS	ICRAAAA	50	498.37	0.21
7.95% HDFC Ltd.	INE001A07FK5	CRISILAAA	50	492.99	0.21
11.50% Shriram Transport Finance Co.Ltd.	INE721A08729	FTICAAA(Ind)	100	100.77	0.04
11.50% Rural Electrification Corporation Ltd.	INE020B07EF6	CRISILAAA	7	70.10	0.03
9.90% HDFC Ltd.	INE001A07EL6	CRISILAAA	4	39.92	0.02
Total				34,393.25	14.63
Privately placed / Unlisted					
11.00% Bahadur Chand Investments Pvt.Ltd.	INE087M07045	ICRAAAA	100	9,953.74	4.24
9.75% L & T Seawood Pvt.Ltd.	INE968N08059	CAREAAA(SO)	300	2,961.71	1.26
9.90% L & T Seawood Pvt.Ltd.	INE968N08026	CAREAAA(SO)	250	2,485.22	1.06
9.48% L & T Seawood Pvt.Ltd.	INE968N08075	CAREAAA(SO)	230	2,243.88	0.96
Total				17,644.55	7.52
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD The South Indian Bank Ltd.	INE683A16A04	CAREA1+	25400	24,383.03	10.38
CP Pranam Enterprises Limited	INE140A14CC1	ICRAA1+	3000	14,699.12	6.26
CPIL & FS Financial Services Ltd.	INE121H14B54	ICRAA1+	2000	9,817.56	4.18
CP India Infotech Finance Limited	INE866144E77	ICRAA1+	2000	9,796.58	4.17
CP Pita Motors Finance Ltd.	INE00H14DR0	ICRAA1+	2000	9,769.69	4.16
CP Magma Fincorp Limited	INE511C14HD6	CAREA1+	1300	6,352.20	2.70
CP Pranam Enterprises Limited	INE140A14AM4	ICRAA1+	1000	4,906.64	2.09
CP Sundaram BNP Paribas Home Finance Ltd.	INE667F14754	ICRAA1+	600	2,930.77	1.25
CP Sundaram BNP Paribas Home Finance Ltd.	INE667F14762	ICRAA1+	500	2,440.24	1.04
CP JM Financial Products Limited	INE523H14JF0	CRISILA1+	500	2,439.19	1.04
CP Edelweiss Financial Services Limited	INE532F14L11	CRISILA1+	400	1,910.06	0.82
CD The South Indian Bank Ltd.	INE683A16BB9	CRISILA1+	2000	1,910.56	0.81
CP Cholamandalam Investment and Finance Company Ltd.	INE121A14I00	ICRAA1+	300	1,364.95	0.58
CD Punjab National Bank	INE160A16ID7	CRISILA1+	350	334.82	0.14
Total				93,061.41	39.62
Treasury Bills / Cash Management Bills **					
TB 38 Days Cash Management Bill 14/10/2013	IN002013U135	SOV	63500000	63,263.15	26.94
TB 48 Days Cash Management Bill 15/10/2013	IN002013U101	SOV	11500000	11,453.93	4.88
TB 48 Days Cash Management Bill 14/10/2013	IN002013U093	SOV	3500000	3,486.92	1.48
Total				78,204.00	33.30
Collateral Borrowing & Lending obligation				9,000.00	3.83
Net Current Assets/(Liabilities)				2,556.57	1.10
Grand Total				234,859.78	100.00

Kotak FMP Series 98					
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Debt Instruments					
Debentures and Bonds**					
Listed/Awaiting listing on Stock Exchange					
2.00% Tata Motors Ltd.	INE155A07185	CRISILAAA	200	2,621.72	12.94
ZCB IDFC Limited	INE043D07DR1	ICRAAAA	270	2,570.28	12.68
9.90% HDFC Ltd.	INE001A07GJ5	CRISILAAA	250	2,492.41	12.30
9.40% National Bank for Agriculture and Rural Development	INE261F09GB7	CRISILAAA	250	2,491.96	12.30
8.90% Power Finance Corporation Ltd.	INE134E08BJ5	CRISILAAA	200	1,991.84	9.83
ZCB Kotak Mahindra Prime Ltd.	INE916DA7055	CRISILAA+	180	1,921.07	9.48
ZCB Sundaram BNP Paribas Home Finance Ltd.	INE667F07AO5	CAREAAA+	180	1,918.47	9.47
9.85% LIC Housing Finance Ltd.	INE115A07AN8	CRISILAAA	130	1,295.83	6.39
8.49% Indian Railway Finance Corporation Ltd.	INE053F09FW6	CRISILAAA	100	993.00	4.90
9.20% Power Grid Corporation of India Ltd.	INE752E07FH1	CRISILAAA	40	496.83	2.45
Total				18,793.41	92.74
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD Canara Bank	INE476A16B1	CRISILA1+	750	719.39	3.55
CD The South Indian Bank Ltd.	INE683A16AN6	CRISILA1+	100	95.88	0.47
CD Central Bank of India	INE683A16EW4	CRISILA1+	100	95.37	0.47
Total				910.64	4.49
Net Current Assets/(Liabilities)				561.11	2.77
Grand Total				20,265.16	100.00

Kotak FMP Series 85					
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Debt Instruments					
Debentures and Bonds**					
Listed/Awaiting listing on Stock Exchange					
ZCB Tata Motors Finance Ltd.	INE909H07701	CRISILAA-	100	856.31	14.06
10.30% HDB Financial Services Ltd.	INE756107027	CAREAAA	150	748.53	12.29
9.90% LIC Housing Finance Ltd.	INE115A07CE3	CRISILAAA	70	697.97	11.46
10.5704% Aditya Birla Finance Ltd.	INE860H07193	ICRAAAA	70	696.39	11.44
9.68% HDFC Ltd.	INE001A07HW6	CRISILAAA	50	498.50	8.19
7.20% Rural Electrification Corporation Ltd.	INE030B07BG0	CRISILAAA	46	445.08	7.31
9.80% Power Finance Corporation Ltd.	INE134E08CQ8	CRISILAAA	44	434.82	7.14
9.47% Power Grid Corporation of India Ltd.	INE752E07EL6	CRISILAAA	21	261.37	4.29
Total				4,638.97	76.18
Privately placed / Unlisted**					
9.84% Tata Sons Ltd.	INE895D08386	CRISILAAA	70	698.56	11.47
Total				698.56	11.47
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
ICI Banks	INE090A16250	ICRAA1+	50	46.87	0.77
Total				199.67	3.39
Collateral Borrowing & Lending obligation				99.98	1.70
Total				350.81	5.75
Net Current Assets/(Liabilities)				355.17	5.83
Grand Total				6,089.57	100.00

Notes :
1. Average Maturity of the portfolio : 1.24 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak Emerging Equity					
Name of Instrument	ISIN Code	Industry	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Equity & Equity related					
Listed/Awaiting listing on Stock Exchange					
SKewal Kiran Clothing Ltd.	INE401H01017	Textile Products	48805	398.40	4.53
Solar Industries India Ltd.	INE343H01011	Chemicals	35426	329.64	3.75
Hawkins Cooker Ltd.	INE979B01015	Household Appliances	17172	295.86	3.36
Whirlpool of India Ltd.	INE716A01013	Consumer Durables	183398	280.32	3.19
Persistent Systems Ltd.	INE262H01013	Software	38834	245.97	2.79
Federal Bank Ltd.	INE171A01011	Banks	80588	229.35	2.61
Torrent Pharmaceuticals Ltd.	INE685A01028	Pharmaceuticals	51164	224.20	2.55
Graphite India Ltd.	INE371A01025	Industrial Products	297985	224.08	2.55
IPCA Laboratories Ltd.	INE571A01020	Pharmaceuticals	28572	204.40	2.32
Jk Lakshmi Cement Ltd.	INE786A01032	Cement	267893	190.20	2.16
ICICI Bank Ltd.	INE090A01013	Banks	21500	189.98	2.16
SKF India Ltd.	INE640A01023	Industrial Products	35900	180.83	2.05
Bayer Crop Science Ltd.	INE62A01022	Pesticides	11329	175.15	1.99
SML Isuzu Ltd.	INE294B01019	Auto	75662	174.63	1.98
Raymond Ltd.	INE301A01014	Textile Products	74308	169.65	1.93
Aurobindo Pharma Ltd.	INE406A01037	Pharmaceuticals	82000	165.93	1.89
Repro India Ltd.	INE461B01014	Media and Entertainment	135073	165.73	1.88
Bata India Ltd.	INE176A01010	Consumer Durables	19000	162.74	1.85
YES Bank Ltd.	INE528G01019	Banks	55000	158.04	1.80
Bajaj Finance Ltd.	INE296A01016	Finance	13388	156.04	1.77
Shree Cement Ltd.	INE070A01015	Cement	3700	152.58	1.73
Kajaria Ceramics Ltd.	INE217B01028	Construction	61159	149.38	1.70
Texmaco Rail & Engineering Ltd.	INE621L01012	Industrial Capital Goods	507600	147.20	1.67
Tech Mahindra Ltd.	INE669C01028	Software	11000	146.93	1.67
ING Vysya Bank Ltd.	INE166A01011	Banks	26447	141.69	1.61
Birla Corporation Ltd.	INE340A01012	Cement	67296	137.28	1.56
Naveet Education Ltd.	INE601A01024	Media and Entertainment	255793	135.31	1.54
Wyeth Ltd.	INE378A01012	Pharmaceuticals	23000	133.37	1.52
Lupin Ltd.	INE326A01037	Pharmaceuticals	14500	124.10	1.41
Amara Raja Batteries Ltd.	INE885A01032	Auto Ancillaries	42000	122.14	1.39
Hindustan Media Ventures Ltd.	INE871K01015	Media and Entertainment	110196	121.05	1.38
Fag Bearings India Ltd.	INE513A01014	Industrial Products	9612	120.59	1.37
Karnataka Bank Ltd.	INE614B01018	Banks	142025	118.52	1.35
Akzo Nobel India Ltd.	INE133A01011	Consumer Non Durables	14317	117.53	1.34
Bharat Bijlee Ltd.	INE464A01028	Industrial Capital Goods	37843	116.92	1.33
D.B. Corp.Ltd.	INE950M01011	Media and Entertainment	47811	116.13	1.32
Styroloop ABS (India) Ltd.	INE189B01011	Chemicals	29692	112.01	1.27
Karur Vysya Bank Ltd.	INE036D01010	Banks	35345	110.03	1.25
Emami Ltd.	INE548C01032	Consumer Non Durables	22655	109.88	1.25
VST Industries Ltd.	INE710A01016	Consumer Non Durables	7051	105.86	1.20
Dalmia Bharat Ltd.	INE439L01019	Cement	85132	105.52	1.20
Sun TV Network Ltd.	INE424H01027	Media and Entertainment	26200	103.02	1.17
Max India Ltd.	INE180A01020	Finance	51384	98.58	1.12
MindTree Ltd.	INE018010107	Software	8000	97.53	1.11
Kenametal India Ltd.	INE717A01029	Industrial Products	23199	96.68	1.10
Hexaware Technologies Ltd.	INE093A01033	Software	75000	96.41	1.10
Tata Global Beverages Ltd.	INE192A01025	Consumer Non Durables	65000	93.50	1.06
Zuari Agro Chemicals Ltd.	INE840M01016	Fertilisers	104542	88.13	1.00
Zuari Global Ltd.	INE217A01012	Fertilisers	150000	80.93	0.92
Glenmark Pharmaceuticals Ltd.	INE935A01035	Pharmaceuticals	15000	79.64	0.90
Volamp Transformers Ltd.	INE540H01012	Industrial Capital Goods	22070	77.29	0.88
Sobha Developers Ltd.	INE671H01015	Construction	26253	73.80	0.84
Union Bank of India	INE692A01016	Banks	66458	72.87	0.83
Dish TV India Ltd.	INE836F01026	Media and Entertainment	140000	70.70	0.80
Godfrey Phillips India Ltd.	INE260B01010	Consumer Non Durables	2679	68.38	0.78
Divis Laboratories Ltd.	INE361B01024	Pharmaceuticals	6791	65.90	0.75
LIC Housing Finance Ltd.	INE115A01026	Finance	33333	62.65	0.71
Sadhav Engineering Ltd.	INE26H01026	Construction Project	91920	55.15	0.63
Indian Bank	INE562A01011	Banks	77400	52.17	0.59
Repro Home Finance Ltd.	INE612M01015	Finance	20112	50.55	0.57
Exide Industries Ltd.	INE302A01020	Auto Ancillaries	35000	45.27	0.51
The Ramco Cements Ltd.	INE331A01037	Cement	25000	44.59	0.51
GlaxoSmithKline Consumer Healthcare Ltd.	INE264A01014	Consumer Non Durables	854	37.04	0.42
Future Lifestyle Fashions Ltd.	INE4520M0106	Retailing	21000	30.49	0.35
Ballarpur Industries Ltd.	INE294A01037	Paper	229854	24.48	0.28
Total				8,630.91	98.10
Term Deposits (Placed as margin)					
Bank		Duration			
Kotak Mahindra Bank Ltd.		93 Days		50.00	0.57
Total				50.00	0.57
Collateral Borrowing & Lending obligation				150.00	1.70
Total				150.00	1.70
Net Current Assets/(Liabilities)				(30.24)	(0.37)
Grand Total				8,800.67	100.00

Half Yearly Portfolio of the Schemes / Plans as on 30th September, 2013 (Unaudited) (continued)

Kotak FMP Series 94						
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets	
Money Market Instruments						
Commercial Paper (CP)/ Certificate of Deposits (CD)**						
CD IDBI Bank Ltd.	INE008A16NE8	ICRAA1+	7000	6,815.60	28.02	
CD Andhra Bank	INE434A16DF4	CRISILA1+	7000	6,794.47	27.94	
CD Corporation Bank	INE112A16DM2	CRISILA1+	7000	6,749.06	27.75	
CD Indian Overseas Bank	INE565A16T07	ICRAA1+	2000	1,940.91	7.98	
CD Federal Bank Ltd.	INE562A16CY5	CRISILA1+	2000	1,928.22	7.93	
Total				24,228.26	99.62	
Net Current Assets/(Liabilities)				94.01	0.38	
Grand Total				24,322.27	100.00	
Notes :						
1. Average Maturity of the portfolio : 0.33 Years						
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.						
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.						

Kotak FMP Series 95						
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets	
Money Market Instruments						
Commercial Paper (CP)/ Certificate of Deposits (CD)**						
CD YES Bank Ltd.	INE528G16TF6	CRISILA1+	6500	6,252.77	27.23	
CD The South Indian Bank Ltd.	INE683A16AN6	CRISILA1+	6500	6,232.27	27.14	
CD Kanur Vysya Bank Ltd.	INE036D16DB0	CRISILA1+	5000	4,811.67	20.95	
CD ICICI Bank Ltd.	INE00A16YH6	ICRAA1+	5000	4,810.97	20.95	
CD Federal Bank Ltd.	INE171A16EW5	CRISILA1+	890	854.68	3.72	
Total				22,962.36	99.99	
Net Current Assets/(Liabilities)				2.84	0.01	
Grand Total				22,965.20	100.00	
Notes :						
1. Average Maturity of the portfolio : 0.42 Years						
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.						
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.						

Kotak FMP Series 96						
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets	
Money Market Instruments						
Commercial Paper (CP)/ Certificate of Deposits (CD)**						
CD The South Indian Bank Ltd.	INE683A16AN6	CRISILA1+	3400	3,259.96	29.34	
CD Kanur Vysya Bank Ltd.	INE036D16DF1	CRISILA1+	3400	3,258.79	29.33	
CD Corporation Bank	INE112A16DP5	CRISILA1+	3000	2,884.24	25.96	
CD Federal Bank Ltd.	INE171A16EW5	CRISILA1+	1510	1,450.08	13.05	
CD Canara Bank	INE476A16B1	CRISILA1+	250	239.80	2.16	
Total				11,092.87	99.84	
Net Current Assets/(Liabilities)				19.30	0.16	
Grand Total				11,112.17	100.00	
Notes :						
1. Average Maturity of the portfolio : 0.43 Years						
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.						
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.						

Kotak FMP Series 97						
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets	
Money Market Instruments						
Commercial Paper (CP)/ Certificate of Deposits (CD)**						
CD IndusInd Bank Ltd.	INE095A16HF4	CRISILA1+	16300	15,626.89	29.77	
CD Federal Bank Ltd.	INE171A16F8A	CRISILA1+	15000	14,369.85	27.37	
CD ICICI Bank Ltd.	INE00A16YO2	ICRAA1+	15000	14,362.16	27.36	
CD Kanur Vysya Bank Ltd.	INE036D16D5	CRISILA1+	5000	4,779.68	9.10	
CD AXIS Bank Ltd.	INE238A16SB6	CRISILA1+	3500	3,349.34	6.38	
Total				52,487.92	99.98	
Net Current Assets/(Liabilities)				12.87	0.02	
Grand Total				52,500.79	100.00	
Notes :						
1. Average Maturity of the portfolio : 0.45 Years						
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.						
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.						

Kotak FMP Series 99						
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets	
Debt Instruments						
Debentures and Bonds**						
Listed/Awaiting listing on Stock Exchange						
9.75% IDFC Limited	INE043D07BJ2	ICRAAAA	250	2,496.27	12.47	
9.64% LIC Housing Finance Ltd.	INE115A07CS3	CRISILAAA	250	2,491.90	12.44	
10.20% Tata Capital Limited	INE976I07856	CAREAA+	250	2,489.54	12.43	
9.55% HDFCLtd.	INE01A07DX2	CRISILAAA	250	2,489.52	12.43	
9.70% National Bank for Agriculture and Rural Development	INE261F09GH4	CRISILAAA	180	1,795.25	8.96	
8.64% Power Grid Corporation of India Ltd.	INE752E07HC3	CRISILAAA	80	988.23	4.93	
Total				12,750.71	63.66	
Money Market Instruments						
Commercial Paper (CP)/ Certificate of Deposits (CD)**						
CD The South Indian Bank Ltd.	INE683A16BG8	CRISILA1+	6000	5,634.89	28.14	
CD IDBI Bank Ltd.	INE08A16Q00	ICRAA1+	1400	1,295.38	6.47	
Total				6,930.27	34.61	
Net Current Assets/(Liabilities)				344.25	1.73	
Grand Total				20,025.23	100.00	
Notes :						
1. Average Maturity of the portfolio : 0.75 Years						
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.						
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.						

Kotak FMP Series 111						
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets	
Money Market Instruments						
Commercial Paper (CP)/ Certificate of Deposits (CD)**						
CD State Bank of Travancore	INE654A16DS0	CRISILA1+	4300	3,963.86	29.52	
CD IDBI Bank Ltd.	INE08A16RA7	CRISILA1+	4250	3,903.17	29.07	
CD Central Bank of India	INE483A16FW1	CRISILA1+	3500	3,238.63	24.12	
CD AXIS Bank Ltd.	INE238A16SW2	CRISILA1+	2500	2,295.80	17.10	
Total				13,401.46	99.81	
Net Current Assets/(Liabilities)				24.13	0.19	
Grand Total				13,425.59	100.00	
Notes :						
1. Average Maturity of the portfolio : 0.88 Years						
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.						
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.						

Kotak Hybrid Fixed Term Plan-Series I						
Name of Instrument	ISIN Code	Industry / Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets	
Equity & Equity related						
Listed/Awaiting listing on Stock Exchange						
Sun Pharmaceutical Industries Ltd.	INE044A01036	Pharmaceuticals	17092	101.41	1.31	
ITC Ltd.	INE154A01025	Consumer/Non Durables	27893	94.95	1.23	
HCL Technologies Ltd.	INE860A01027	Software	8457	91.94	1.19	
Tata Consultancy Services Ltd.	INE467B01029	Software	4686	90.34	1.16	
Dr.Reddy's Laboratories Ltd.	INE389A01023	Pharmaceuticals	3773	89.84	1.17	
Hindustan Unilever Ltd.	INE030A01027	Consumer/Non Durables	14017	87.95	1.14	
Lupin Ltd.	INE326A01037	Pharmaceuticals	10109	86.52	1.12	
Infosys Ltd.	INE009A01021	Software	2725	82.10	1.06	
Maruti Suzuki India Ltd	INE585B01010	Auto	4542	61.71	0.80	
Cipla Ltd.	INE059A01026	Pharmaceuticals	13583	58.75	0.76	
Asian Paints (India) Ltd.	INE021A01026	Consumer/Non Durables	10042	46.12	0.60	
Mahindra & Mahindra Ltd.	INE101A01026	Auto	4504	37.27	0.48	
Hero MotoCorp Ltd.	INE158A01026	Auto	1528	30.70	0.40	
Tata Motors Ltd.	INE155A01022	Auto	8798	29.25	0.38	
Sesa Sterite Ltd.	INE205A01025	Minerals/Mining	12906	23.33	0.30	
Caim India Ltd	INE910H01017	Oil	5905	18.83	0.24	
IndusInd Bank Ltd.	INE095A01012	Banks	3540	13.05	0.17	
Bajaj Auto Ltd.	INE917I01010	Auto	637	12.66	0.16	
Bharti Airtel Ltd.	INE397D01024	Telecom- Services	3021	9.63	0.12	
Wipro Ltd.	INE075A01022	Software	1834	8.68	0.11	
Power Grid Corporation of India Ltd.	INE752E01010	Power	7519	7.37	0.10	
Reliance Industries Ltd.	INE002A01018	Petroleum Products	844	6.94	0.09	
IDFC Ltd	INE043D01016	Finance	6967	6.13	0.08	
AXIS Bank Ltd.	INE238A01026	Banks	567	5.71	0.07	
Ultratech Cement Ltd.	INE481G01011	Cement	308	5.57	0.07	
HDFCLtd.	INE001A01036	Finance	664	5.07	0.07	
GAIL (India) Ltd.	INE129A01019	Gas	1327	4.35	0.06	
Future Lifestyle Fashions Ltd	INE452001016	Retailing	2955	4.29	0.06	
Larsen and Toubro Ltd.	INE018A01030	Construction Project	489	3.86	0.05	
Hindalco Industries Ltd.	INE038A01020	Non- Ferrous Metals	2237	2.50	0.03	
HDFC Bank Ltd.	INE040A01026	Banks	240	1.42	0.02	
Jaiprakash Associates Ltd	INE455F01025	Cement	3663	1.28	0.02	
Ranbaxy Laboratories Ltd.	INE015A01028	Pharmaceuticals	180	0.59	0.01	
National Thermal Power Corporation Ltd.	INE733E01010	Power	83	0.12	#	
Total				1,130.23	14.63	
Debt Instruments						
Debentures and Bonds**						
Listed/Awaiting listing on Stock Exchange						
ZCB Magma Fincorp Ltd	INE511C07201	CAREAA+	100	1,182.53	15.30	
ZCB Shriram City Union Finance Ltd.	INE722A07315	CAREAA	100	1,177.68	15.24	
10.15% L & T Finance Ltd	INE523E07590	CAREAA+	100	1,000.24	12.94	
9.40% LIC Housing Finance Ltd.	INE115A07AF4	CRISILAAA	90	897.65	11.61	
Total				4,258.10	55.09	
Term Deposits (Placed as margin)						
Bank		Duration				
Kotak Mahindra Bank Ltd.		96 Days		50.00	0.65	
Total				50.00	0.65	
Collateral Borrowing & Lending obligation				2,100.00	27.17	
Total				2,100.00	27.17	
Net Current Assets/(Liabilities)				190.94	2.46	
Grand Total				7,729.27	100.00	
Notes :						
1. Average Maturity of the portfolio : 0.15 Years						
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.						
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Ltd in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.						
4. For the period ended 30th September, 2013 other than hedging transactions through futures which have been squared off/expired are as follows;						
Total Number of contracts where futures were bought			28			
Total Number of contracts where futures were sold			28			
Gross Notional Value of contracts where futures were bought			83.01 Lacs			
Gross Notional Value of contracts where futures were sold			83.56 Lacs			
Net Profit/Loss value on all contracts combined			0.55 Lacs			

Kotak FMP Series 101						
Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets	
Money Market Instruments						
Commercial Paper (CP)/ Certificate of Deposits (CD)**						
CD The South Indian Bank Ltd.	INE683A16BB9	CRISILA1+	3500	3,343.47	28.41	
CD Punjab National Bank	INE160A16D7	CRISILA1+	3150	3,013.37	25.60	
CD ICICI Bank Ltd.	INE00A16YO2	ICRAA1+	3000	2,872.43	24.41	
CDUCO Bank	INE09A16GY3	CRISILA1+	2000	1,914.54	16.27	
CD Andhra Bank	INE434A16DN8	CRISILA1+	650	621.11	5.28	
Total				11,764.92	99.97	
Net Current Assets/(Liabilities)				4.29	0.03	
Grand Total				11,769.21	100.00	
Notes :						
1. Average Maturity of the portfolio : 0.47 Years						
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.						
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.						

Kotak Gold Fund						
Name of Instrument	ISIN Code	Industry	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets	
Mutual Fund Units						
Exchange Traded Funds						
Listed/Awaiting listing on Stock Exchange						
Kotak Gold ETF	INF373I01015	Exchange Traded Funds	1617782	46,092.23	98.40	
Total				46,092.23	98.40	
Money Market Instruments						
Collateral Borrowing & Lending obligation						
				900.00	1.92	
Total				900.00	1.92	
Net Current Assets/(Liabilities)				(151.82)	(0.32)	
Grand Total				46,840.41	100.00	
Notes :						
1. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.						

Half Yearly Portfolio of the Schemes / Plans as on 30th September, 2013 (Unaudited) (continued)

Kotak FMP Series 116

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD United Bank of India	INE695A16D3	CRISIL A1+	4400	4,044.82	29.57
CD AXIS Bank Ltd.	INE238A16TJ7	CRISIL A1+	4400	4,036.52	29.51
CD Indian Bank	INE562A16DU1	CRISIL A1+	4000	3,655.02	26.72
CD IDBI Bank Ltd.	INE008A16RZ4	ICRA A1+	2000	1,835.97	13.42
Total				13,572.33	99.22
Net Current Assets/(Liabilities)				106.58	0.78
Grand Total				13,678.91	100.00

Notes :

1. Average Maturity of the portfolio : 0.93 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak FMP Series 104

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD Karur Vysya Bank Ltd.	INE036D16DR6	CRISIL A1+	7000	6,542.25	29.73
CD The South Indian Bank Ltd.	INE683A16B14	ICRA A1+	6500	6,071.89	27.60
CP HDFCLtd.	INE001A14T6	ICRA A1+	1140	5,316.76	24.16
CD ING Vysya Bank Ltd.	INE166A16JA0	CRISIL A1+	2500	2,335.17	10.61
CD Vijaya Bank	INE705A16FZ0	CRISIL A1+	1500	1,434.16	6.52
CD ICICI Bank Ltd.	INE090A16ZS0	ICRA A1+	300	281.19	1.28
Total				21,981.42	99.90
Net Current Assets/(Liabilities)				20.59	0.10
Grand Total				22,002.01	100.00

Notes :

1. Average Maturity of the portfolio : 0.70 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak FMP Series 119

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD State Bank of Bikaner & Jaipur	INE648A16G16	CRISIL A1+	3800	3,479.15	29.66
CD AXIS Bank Ltd.	INE238A16TX8	CRISIL A1+	3800	3,459.91	29.49
CD ICICI Bank Ltd.	INE090A16E34	ICRA A1+	3200	2,910.56	24.81
CD Andhra Bank	INE434A16EH8	CRISIL A1+	2000	1,822.59	15.54
Total				11,672.21	99.50
Net Current Assets/(Liabilities)				59.25	0.50
Grand Total				11,731.46	100.00

Notes :

1. Average Maturity of the portfolio : 0.96 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak Quarterly Interval Plan - Series I

Name of Instrument	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments		
Collateral Borrowing & Lending obligation	500.00	97.40
Total	500.00	97.40
Net Current Assets/(Liabilities)	13.34	2.60
Grand Total	513.34	100.00

Notes :

1. Average Maturity of the portfolio : 1 Day
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.

Kotak FMP Series 114

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD Vijaya Bank	INE705A16HN2	CRISIL A1+	4000	3,689.68	28.84
CD IndusInd Bank Ltd.	INE095A16DX5	CRISIL A1+	4000	3,687.84	28.82
CD ING Vysya Bank Ltd.	INE166A16X2	CRISIL A1+	4000	3,681.16	28.77
CD IDBI Bank Ltd.	INE008A16RK6	CRISIL A1+	1000	921.59	7.20
CD United Bank of India	INE695A16IE2	CRISIL A1+	500	461.09	3.60
CD Corporation Bank	INE112A16EB3	CRISIL A1+	300	274.50	2.15
Total				12,715.86	99.38
Net Current Assets/(Liabilities)				78.82	0.62
Grand Total				12,794.68	100.00

Notes :

1. Average Maturity of the portfolio : 0.90 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak FMP Series 100

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD Punjab & Sind Bank	INE608A16EO3	CRISIL A1+	10000	9,573.93	21.49
CD Vijaya Bank	INE705A16GD5	CRISIL A1+	10000	9,567.29	21.48
CD IDBI Bank Ltd.	INE008A16PK0	CRISIL A1+	10000	9,565.22	21.48
CD Punjab National Bank	INE160A16JF2	CRISIL A1+	7000	6,687.54	15.01
CD UCO Bank	INE691A16GY3	CRISIL A1+	4500	4,307.71	9.67
CD Federal Bank Ltd.	INE171A16FA8	CRISIL A1+	2000	1,915.98	4.30
CD ICICI Bank Ltd.	INE090A16Y02	ICRA A1+	2000	1,914.95	4.30
CD Indian Bank	INE562A16CY5	CRISIL A1+	500	482.06	1.08
CD Andhra Bank	INE434A16DN8	CRISIL A1+	500	477.78	1.07
Total				44,492.46	99.88
Net Current Assets/(Liabilities)				48.69	0.12
Grand Total				44,541.15	100.00

Notes :

1. Average Maturity of the portfolio : 0.47 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak Quarterly Interval Plan - Series 10

Name of Instrument	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments		
Collateral Borrowing & Lending obligation	243.00	98.97
Total	243.00	98.97
Net Current Assets/(Liabilities)	2.54	1.03
Grand Total	245.54	100.00

Notes :

1. Average Maturity of the portfolio : 1 Day
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.

Kotak FMP Series 118

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD AXIS Bank Ltd.	INE238A16TK5	CRISIL A1+	3400	3,117.42	29.25
CD IDBI Bank Ltd.	INE008A16SD9	CRISIL A1+	3400	3,117.08	29.25
CD United Bank of India	INE695A16M5	CRISIL A1+	2000	1,833.42	17.20
CD Oriental Bank of Commerce	INE141A16ML4	CRISIL A1+	2000	1,823.98	17.11
CD Corporation Bank	INE112A16EB3	CRISIL A1+	500	457.50	4.29
Total				10,349.40	97.10
Collateral Borrowing & Lending obligation				300.00	2.81
Total				300.00	2.81
Net Current Assets/(Liabilities)				8.85	0.09
Grand Total				10,658.25	100.00

Notes :

1. Average Maturity of the portfolio : 0.93 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak FMP Series 113

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Debt Instruments					
Debentures and Bonds** Listed/Awaiting listing on Stock Exchange					
9.60% Tata Motors Finance Ltd	INE090H07AP4	CRISIL AA-	130	1,257.31	14.69
8.49% IDFC Limited	INE043D07EV1	ICRA AAA	130	1,256.57	14.68
10.57% LIC Housing Finance Ltd.	INE115A07EG4	CRISIL AAA	120	1,212.84	14.17
9.38% Rural Electrification Corporation Ltd.	INE020B08609	CRISIL AAA	100	994.33	11.62
8.27% Power Finance Corporation Ltd.	INE134E08FV1	CRISIL AAA	100	966.09	11.29
11.25% Infrastructure Leasing & Financial Services Limited	INE871D07MY2	ICRA AAA	80000	812.36	9.49
8.12% National Housing Bank	INE557F08ER1	CRISIL AAA	50	483.01	5.64
7.87% Export-Import Bank of India.	INE514E08CN8	CRISIL AAA	50	479.14	5.60
9.40% National Bank for Agriculture and Rural Development	INE261F09HN0	CRISIL AAA	45	448.69	5.24
9.85% State Bank of India.	INE062A09130	CRISIL AAA	20	199.30	2.33
9.30% Power Grid Corporation of India Ltd.	INE752E07JP6	CRISIL AAA	10	122.94	1.44
9.40% National Bank for Agriculture and Rural Development	INE261F09GL6	CRISIL AAA	10	98.57	1.15
Total				8,331.15	97.34
Net Current Assets/(Liabilities)				228.12	2.66
Grand Total				8,559.27	100.00

Notes :

1. Average Maturity of the portfolio : 2.70 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak Gold ETF

Name of Instrument	Industry	Quantity in Kgs.	Market Value (Rs.in Lakhs)	% to Net Assets
Equity & Equity related	Gold	3446	103,612.72	100.07
Total			103,612.72	100.07
Net Current Assets/(Liabilities)			(69.75)	(0.07)
Grand Total			103,542.96	100.00

Notes :

1. For NAV and Dividend refer "Annexure A" and "Annexure B" respectively.

Kotak Quarterly Interval Plan - Series 7

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD IDBI Bank Ltd.	INE008A16QU7	CRISIL A1+	3000	2,960.21	20.20
CD IndusInd Bank Ltd.	INE095A16W7	CRISIL A1+	3000	2,960.18	20.20
CD AXIS Bank Ltd.	INE238A16TD0	CRISIL A1+	3000	2,959.74	20.20
CD IDBI Bank Ltd.	INE008A16RN0	CRISIL A1+	300	297.56	2.03
Total				9,177.69	62.63
Treasury Bills / Cash Management Bills **					
TB 91 Days Treasury Bill 15/11/2013	IN002013X196	SOV	2800000	2,764.63	18.87
TB 91 Days Treasury Bill 31/10/2013	IN002013X170	SOV	2700000	2,677.09	18.27
Total				5,441.72	37.14
Net Current Assets/(Liabilities)				33.72	0.23
Grand Total				14,653.13	100.00

Notes :

1. Average Maturity of the portfolio : 0.13 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak FMP Series 112

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD ICICI Bank Ltd.	INE090A16CS1	ICRA A1+	4000	3,686.87	22.51
CD United Bank of India	INE695A16IE2	CRISIL A1+	3000	2,766.53	16.89
CD IndusInd Bank Ltd.	INE095A16V9	CRISIL A1+	3000	2,761.21	16.86
CD Bank of Maharashtra	INE457A16DE8	CRISIL A1+	2500	2,302.67	14.06
CD AXIS Bank Ltd.	INE238A16TA6	CRISIL A1+	2500	2,294.61	14.01
CD IDBI Bank Ltd.	INE008A16RC3	ICRA A1+	1500	1,382.12	8.44
CD IDBI Bank Ltd.	INE008A16RA7	CRISIL A1+	1250	1,147.99	7.01
Total				16,342.00	99.78
Net Current Assets/(Liabilities)				38.17	0.22
Grand Total				16,380.17	100.00

Notes :

1. Average Maturity of the portfolio : 0.90 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak FMP Series 110

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD AXIS Bank Ltd.	INE238A16SW2	CRISIL A1+	6900	6,336.39	28.51
CD Central Bank of India	INE483A16FW1	CRISIL A1+	6500	6,014.59	27.06
CD IndusInd Bank Ltd.	INE095A16Q9	CRISIL A1+	6000	5,504.82	24.76
CD IDBI Bank Ltd.	INE008A16RA7	CRISIL A1+	4500	4,132.77	18.59
CD State Bank of Travancore	INE654A16DS0	CRISIL A1+	200	184.37	0.83
Total				22,172.94	99.75
Net Current Assets/(Liabilities)				55.78	0.25
Grand Total				22,228.72	100.00

Notes :

1. Average Maturity of the portfolio : 0.88 Years
2. For NAV and Dividend refer "Annexure A" and "Annexure B" respective.
3. ** Thinly traded/non-traded securities- Fair value as determined by Kotak Mahindra Asset Management Company Limited in accordance with guidelines on valuation of securities for mutual funds issued by the Securities and Exchange board of India and approved by the Trustees.

Kotak Quarterly Interval Plan-Series 4

Name of Instrument	ISIN Code	Rating	Quantity	Market Value (Rs.in Lakhs)	% to Net Assets
Money Market Instruments					
Commercial Paper (CP)/ Certificate of Deposits (CD)**					
CD Vijaya Bank	INE705A16HV5	CRISIL A1+	960	944.18	29.81

Half Yearly Portfolio of the Schemes / Plans as on 30th September, 2013 (Unaudited) (continued)

NAV Details			Annexure A			NAV Details			Annexure A (Continued)			NAV Details			Annexure A (Continued)		
Scheme Name	31/03/2013	30/09/2013	Scheme Name	31/03/2013	30/09/2013	Scheme Name	31/03/2013	30/09/2013	Scheme Name	31/03/2013	30/09/2013	Scheme Name	31/03/2013	30/09/2013	Scheme Name	31/03/2013	30/09/2013
Kotak-Floater Short Term Daily Dividend	1012.6123	1011.62	Quarterly Interval Plan-Series 5 Growth	14.7666	15.3571	FMP Series 106 Direct Growth	NA	NA	FMP Series 106 Direct Growth	NA	10.2242	FMP Series 106 Direct Growth	NA	10.2242	FMP Series 106 Direct Growth	NA	10.2242
Kotak-Floater Short Term Growth	1922.4453	2008.4225	Quarterly Interval Plan-Series 5-Direct	NA	15.3584	FMP Series 106 Dividend	NA	NA	FMP Series 106 Dividend	NA	10.2234	FMP Series 106 Dividend	NA	10.2234	FMP Series 106 Dividend	NA	10.2234
Kotak-Floater Short Term Monthly Dividen	1006.3732	1006.5697	Quarterly Interval Plan-Series 6 Divide	10.1021	10.1804	FMP Series 106 Growth	NA	NA	FMP Series 106 Growth	NA	10.2234	FMP Series 106 Growth	NA	10.2234	FMP Series 106 Growth	NA	10.2234
Kotak-Floater Short Term Weekly Dividend	1013.8042	1013.9665	Quarterly Interval Plan-Series 6 Growth	14.9686	15.6267	FMP Series 107 Direct Dividend	NA	NA	FMP Series 107 Direct Dividend	NA	10.236	FMP Series 107 Direct Dividend	NA	10.236	FMP Series 107 Direct Dividend	NA	10.236
Kotak-Floater Short Term-Direct Daily Di	1012.6165	1011.62	Quarterly Interval Plan-Series 6-Direct	NA	10.1808	FMP Series 107 Direct Growth	NA	NA	FMP Series 107 Direct Growth	NA	10.236	FMP Series 107 Direct Growth	NA	10.236	FMP Series 107 Direct Growth	NA	10.236
Kotak-Floater Short Term-Direct Growth	1922.6848	2009.176	Quarterly Interval Plan-Series 6-Direct	NA	15.6274	FMP Series 107 Dividend	NA	NA	FMP Series 107 Dividend	NA	10.2352	FMP Series 107 Dividend	NA	10.2352	FMP Series 107 Dividend	NA	10.2352
Kotak-Floater Short Term-Direct Monthly	NA	1011.9261	Quarterly Interval Plan Series 7 Divide	10.0732	10.1409	FMP Series 107 Growth	NA	NA	FMP Series 107 Growth	NA	10.2352	FMP Series 107 Growth	NA	10.2352	FMP Series 107 Growth	NA	10.2352
Kotak-Floater Short Term-Direct Weekly D	1015.4776	1015.6393	Quarterly Interval Plan Series 7 Growth	14.1169	14.7682	FMP Series 108 Direct Growth	NA	NA	FMP Series 108 Direct Growth	NA	10.1807	FMP Series 108 Direct Growth	NA	10.1807	FMP Series 108 Direct Growth	NA	10.1807
Kotak-Liquid Institutional Daily Dividen	1224.0947	1222.81	Quarterly Interval Plan Series 7-Direct	10.0735	10.1413	FMP Series 108 Dividend	NA	NA	FMP Series 108 Dividend	NA	10.1799	FMP Series 108 Dividend	NA	10.1799	FMP Series 108 Dividend	NA	10.1799
Kotak-Liquid Institutional Growth	2292.0292	2389.9111	Quarterly Interval Plan Series 7-Direct	14.1179	14.7721	FMP Series 108 Growth	NA	NA	FMP Series 108 Growth	NA	10.1799	FMP Series 108 Growth	NA	10.1799	FMP Series 108 Growth	NA	10.1799
Kotak-Liquid Institutional Weekly Divide	1010.9737	NA	Quarterly Interval Plan Series 8 Divide	10.0306	10.0494	FMP Series 109 Direct Dividend	NA	NA	FMP Series 109 Direct Dividend	NA	10.2036	FMP Series 109 Direct Dividend	NA	10.2036	FMP Series 109 Direct Dividend	NA	10.2036
Kotak-Liquid Plan A Daily Dividend	1223.9639	1222.81	Quarterly Interval Plan Series 8 Growth	14.0082	14.5416	FMP Series 109 Direct Growth	NA	NA	FMP Series 109 Direct Growth	NA	10.2036	FMP Series 109 Direct Growth	NA	10.2036	FMP Series 109 Direct Growth	NA	10.2036
Kotak-Liquid Plan A Growth	2380.1014	2485.7742	Quarterly Interval Plan Series 8-Direct	NA	14.5418	FMP Series 109 Growth	NA	NA	FMP Series 109 Growth	NA	10.2028	FMP Series 109 Growth	NA	10.2028	FMP Series 109 Growth	NA	10.2028
Kotak-Liquid Plan A Weekly Dividend	1001.7488	1002.0151	Quarterly Interval Plan Series 9 Divide	10.1861	10.1995	FMP Series 110 Direct Dividend	NA	NA	FMP Series 110 Direct Dividend	NA	10.1856	FMP Series 110 Direct Dividend	NA	10.1856	FMP Series 110 Direct Dividend	NA	10.1856
Kotak-Liquid Plan A-Direct Daily Dividen	1223.9689	1222.81	Quarterly Interval Plan Series 9 Growth	13.855	14.4022	FMP Series 110 Direct Growth	NA	NA	FMP Series 110 Direct Growth	NA	10.1856	FMP Series 110 Direct Growth	NA	10.1856	FMP Series 110 Direct Growth	NA	10.1856
Kotak-Liquid Plan A-Direct Growth	2380.3772	2486.6847	Quarterly Interval Plan Series 9-Direct	NA	14.4042	FMP Series 110 Dividend	NA	NA	FMP Series 110 Dividend	NA	10.1849	FMP Series 110 Dividend	NA	10.1849	FMP Series 110 Dividend	NA	10.1849
Kotak-Liquid Plan A-Direct Weekly Divide	1003.374	1003.6479	Quarterly Interval Plan Series 10 Divid	10.1798	10.1976	FMP Series 110 Growth	NA	NA	FMP Series 110 Growth	NA	10.1849	FMP Series 110 Growth	NA	10.1849	FMP Series 110 Growth	NA	10.1849
Kotak-Liquid Regular Dividend	1004.0429	1004.0965	Quarterly Interval Plan Series 10 Growth	13.7169	14.2558	FMP Series 111 Direct Growth	NA	NA	FMP Series 111 Direct Growth	NA	10.206	FMP Series 111 Direct Growth	NA	10.206	FMP Series 111 Direct Growth	NA	10.206
Kotak-Liquid Regular Growth	2217.8291	2305.0188	FMP Series 47 Dividend	11.8367	NA	FMP Series 111 Dividend	NA	NA	FMP Series 111 Dividend	NA	10.2054	FMP Series 111 Dividend	NA	10.2054	FMP Series 111 Dividend	NA	10.2054
FMP 24M Series 4 Dividend	11.9172	NA	FMP Series 47 Growth	11.8367	NA	FMP Series 111 Growth	NA	NA	FMP Series 111 Growth	NA	10.2053	FMP Series 111 Growth	NA	10.2053	FMP Series 111 Growth	NA	10.2053
FMP 24M Series 4 Growth	11.9172	NA	FMP Series 50 Dividend	11.8111	NA	FMP Series 112 Direct Growth	NA	NA	FMP Series 112 Direct Growth	NA	10.1903	FMP Series 112 Direct Growth	NA	10.1903	FMP Series 112 Direct Growth	NA	10.1903
Kotak-Bond Deposit Deposit Dividend	12.2804	11.4926	FMP Series 50 Growth	11.8111	NA	FMP Series 112 Dividend	NA	NA	FMP Series 112 Dividend	NA	10.1897	FMP Series 112 Dividend	NA	10.1897	FMP Series 112 Dividend	NA	10.1897
Kotak-Bond Deposit Deposit Growth	31.6336	31.0459	FMP Series 55 Dividend	11.5742	NA	FMP Series 112 Growth	NA	NA	FMP Series 112 Growth	NA	10.1897	FMP Series 112 Growth	NA	10.1897	FMP Series 112 Growth	NA	10.1897
Kotak-Bond Plan A Regular Annual Dividen	21.0429	20.6494	FMP Series 55 Growth	11.5742	NA	FMP Series 113 Direct Dividend	NA	NA	FMP Series 113 Direct Dividend	NA	10.1685	FMP Series 113 Direct Dividend	NA	10.1685	FMP Series 113 Direct Dividend	NA	10.1685
Kotak-Bond Plan A Regular Bonus	22.5945	22.1718	FMP Series 58 Dividend	11.4139	11.9144	FMP Series 113 Direct Growth	NA	NA	FMP Series 113 Direct Growth	NA	10.1685	FMP Series 113 Direct Growth	NA	10.1685	FMP Series 113 Direct Growth	NA	10.1685
Kotak-Bond Plan A Regular Growth	33.8953	33.2612	FMP Series 58 Growth	11.4139	11.9144	FMP Series 113 Dividend	NA	NA	FMP Series 113 Dividend	NA	10.1681	FMP Series 113 Dividend	NA	10.1681	FMP Series 113 Dividend	NA	10.1681
Kotak-Bond Plan A Regular Quarterly Div	10.534	9.8616	FMP Series 61 Dividend	11.3719	NA	FMP Series 113 Growth	NA	NA	FMP Series 113 Growth	NA	10.1681	FMP Series 113 Growth	NA	10.1681	FMP Series 113 Growth	NA	10.1681
Kotak-Bond Plan A-Direct Regular Annual	NA	20.7004	FMP Series 61 Growth	11.3719	NA	FMP Series 114 Direct Dividend	NA	NA	FMP Series 114 Direct Dividend	NA	10.1962	FMP Series 114 Direct Dividend	NA	10.1962	FMP Series 114 Direct Dividend	NA	10.1962
Kotak-Bond Plan A-Direct Regular Growth	33.9536	33.4342	FMP Series 63 Dividend	11.3784	11.8622	FMP Series 114 Direct Growth	NA	NA	FMP Series 114 Direct Growth	NA	10.1962	FMP Series 114 Direct Growth	NA	10.1962	FMP Series 114 Direct Growth	NA	10.1962
Kotak-Bond Plan A-Direct Regular Quarter	10.6474	9.987	FMP Series 63 Growth	11.3784	11.8622	FMP Series 114 Dividend	NA	NA	FMP Series 114 Dividend	NA	10.1957	FMP Series 114 Dividend	NA	10.1957	FMP Series 114 Dividend	NA	10.1957
Kotak-Bond Short Term Dividend	10.1436	10.0861	FMP Series 64 Dividend	11.3463	NA	FMP Series 114 Growth	NA	NA	FMP Series 114 Growth	NA	10.1958	FMP Series 114 Growth	NA	10.1958	FMP Series 114 Growth	NA	10.1958
Kotak-Bond Short Term Growth	22.1314	22.6718	FMP Series 64 Growth	11.3463	NA	FMP Series 115 Direct Dividend	NA	NA	FMP Series 115 Direct Dividend	NA	10.1448	FMP Series 115 Direct Dividend	NA	10.1448	FMP Series 115 Direct Dividend	NA	10.1448
Kotak-Bond Short Term-Direct Dividend	10.208	10.1348	FMP Series 65 Dividend	11.2525	NA	FMP Series 115 Direct Growth	NA	NA	FMP Series 115 Direct Growth	NA	10.1448	FMP Series 115 Direct Growth	NA	10.1448	FMP Series 115 Direct Growth	NA	10.1448
Kotak-Bond Short Term-Direct Growth	22.1584	22.7565	FMP Series 65 Growth	11.2525	NA	FMP Series 115 Dividend	NA	NA	FMP Series 115 Dividend	NA	10.1444	FMP Series 115 Dividend	NA	10.1444	FMP Series 115 Dividend	NA	10.1444
Income Opportunities Fund Annual Divide	10.1541	10.4055	FMP Series 67 Dividend	11.2441	NA	FMP Series 115 Growth	NA	NA	FMP Series 115 Growth	NA	10.1444	FMP Series 115 Growth	NA	10.1444	FMP Series 115 Growth	NA	10.1444
Income Opportunities Fund Growth	12.5299	12.8401	FMP Series 67 Growth	11.2441	NA	FMP Series 116 Direct Dividend	NA	NA	FMP Series 116 Direct Dividend	NA	10.1234	FMP Series 116 Direct Dividend	NA	10.1234	FMP Series 116 Direct Dividend	NA	10.1234
Income Opportunities Fund Monthly Divid	10.1853	10.1795	FMP Series 71 Dividend	11.1481	NA	FMP Series 116 Direct Growth	NA	NA	FMP Series 116 Direct Growth	NA	10.1233	FMP Series 116 Direct Growth	NA	10.1233	FMP Series 116 Direct Growth	NA	10.1233
Income Opportunities Fund Quarterly Div	10.2736	10.266	FMP Series 71 Growth	11.1481	NA	FMP Series 116 Dividend	NA	NA	FMP Series 116 Dividend	NA	10.123	FMP Series 116 Dividend	NA	10.123	FMP Series 116 Dividend	NA	10.123
Income Opportunities Fund Weekly Divide	10.0216	9.9962	FMP Series 73 Dividend	11.0721	NA	FMP Series 116 Growth	NA	NA	FMP Series 116 Growth	NA	10.123	FMP Series 116 Growth	NA	10.123	FMP Series 116 Growth	NA	10.123
Income Opportunities Fund-Direct Growth	12.5436	12.8869	FMP Series 73 Growth	11.0721	NA	FMP Series 117 Direct Dividend	NA	NA	FMP Series 117 Direct Dividend	NA	10.117	FMP Series 117 Direct Dividend	NA	10.117	FMP Series 117 Direct Dividend	NA	10.117
Income Opportunities Fund-Direct Monthl	10.2336	10.1883	FMP Series 76 Dividend	11.0739	NA	FMP Series 117 Direct Growth	NA	NA	FMP Series 117 Direct Growth	NA	10.117	FMP Series 117 Direct Growth	NA	10.117	FMP Series 117 Direct Growth	NA	10.117
Kotak-Floater Long Term Daily Dividend	10.0865	10.0798	FMP Series 76 Growth	11.0739	NA	FMP Series 117 Dividend	NA	NA	FMP Series 117 Dividend	NA	10.1167	FMP Series 117 Dividend	NA	10.1167	FMP Series 117 Dividend	NA	10.1167
Kotak-Floater Long Term Growth	18.6784	19.4282	FMP Series 77 Dividend	11.146	NA	FMP Series 117 Growth	NA	NA	FMP Series 117 Growth	NA	10.1167	FMP Series 117 Growth	NA	10.1167	FMP Series 117 Growth	NA	10.1167
Kotak-Floater Long Term Monthly Dividend	10.1123	10.1287	FMP Series 77 Growth	11.1459	NA	FMP Series 118 Direct Dividend	NA	NA	FMP Series 118 Direct Dividend	NA	10.1015	FMP Series 118 Direct Dividend	NA	10.1015	FMP Series 118 Direct Dividend	NA	10.1015
Kotak-Floater Long Term Weekly Dividend	10.0978	10.101	FMP Series 80 Dividend	11.1035	NA	FMP Series 118 Direct Growth	NA	NA	FMP Series 118 Direct Growth	NA	10.1015	FMP Series 118 Direct Growth	NA	10.1015	FMP Series 118 Direct Growth	NA	10.1015
Kotak-Floater Long Term-Direct Daily Div	10.0866	10.0798	FMP Series 80 Growth	11.1035	NA	FMP Series 118 Dividend	NA	NA	FMP Series 118 Dividend	NA	10.1012	FMP Series 118 Dividend	NA	10.1012	FMP Series 118 Dividend	NA	10.1012
Kotak-Floater Long Term-Direct Growth	18.6874	19.4608	FMP Series 81 Dividend	11.073	NA	FMP Series 118 Growth	NA	NA	FMP Series 118 Growth	NA	10.1012	FMP Series 118 Growth	NA	10.1012	FMP Series 118 Growth	NA	10.1012
Kotak-Floater Long Term-Direct Monthly D	10.1637	10.1813	FMP Series 81 Growth	11.073	NA	FMP Series 119 Direct Dividend	NA	NA	FMP Series 119 Direct Dividend	NA	10.0585	FMP Series 119 Direct Dividend	NA	10.0585	FMP Series 119 Direct Dividend	NA	10.0585
Kotak-Floater Long Term-Direct Weekly Di	10.1027	10.1061	FMP Series 82 Dividend	11.0796	NA	FMP Series 119 Direct Growth	NA	NA	FMP Series 119 Direct Growth	NA	10.0585	FMP Series 119 Direct Growth	NA	10.0585	FMP Series 119 Direct Growth	NA	10.0585
Kotak Flexi Debt Plan A Daily Dividend	10.0544	10.0475	FMP Series 82 Growth	11.0796	NA	FMP Series 119 Dividend	NA	NA	FMP Series 119 Dividend	NA	10.0583	FMP Series 119 Dividend	NA	10.0583	FMP Series 119 Dividend	NA	10.0583
Kotak Flexi Debt Plan A Growth	14.4977	15.08	FMP Series 84 Dividend	11.0026	NA	FMP Series 119 Growth	NA	NA	FMP Series 119 Growth	NA	10.0584	FMP Series 119 Growth	NA	10.0584	FMP Series 119 Growth	NA	10.0584
Kotak Flexi Debt Plan A Quarterly Divide	10.3607	10.777	FMP Series 84 Growth	11.0027	NA	FMP Series 120 Direct Dividend	NA	NA	FMP Series 120 Direct Dividend	NA	10.0921	FMP Series 120 Direct Dividend	NA	10.0921	FMP Series 120 Direct Dividend	NA	10.0921
Kotak Flexi Debt Plan A Weekly Dividend	10.3707	10.3829	FMP Series 85 Dividend	11.0575	11.4162	FMP Series 120 Direct Growth	NA	NA	FMP Series 120 Direct Growth	NA	10.0921	FMP Series 120 Direct Growth	NA	10.0921	FMP Series 120 Direct Growth	NA	10.0921
Kotak Flexi Debt Plan A-Direct Daily Div	10.0545	10.0475	FMP Series 85 Growth	11.0575	11.4162	FMP Series 120 Dividend	NA	NA	FMP Series 120 Dividend	NA	10.0919	FMP Series 120 Dividend	NA	10.0919	FMP Series 120 Dividend	NA	10.0919
Kotak Flexi Debt Plan A-Direct Growth	14.503	15.1273	FMP Series 86 Dividend	10.885	NA	FMP Series 120 Growth	NA	NA	FMP Series 120 Growth	NA	10.0919	FMP Series 120 Growth	NA	10.0919	FMP Series 120 Growth	NA	10.0919
Kotak Flexi Debt Plan A-Direct Quarterly	10.3643	10.8104	FMP Series 86 Growth	10.885	NA	Gold Fund Dividend	13.5761	13.8515	Gold Fund Dividend	13.5761	13.8515	Gold Fund Dividend	13.5761	13.8515	Gold Fund Dividend	13.5761	13.8515
Kotak Flexi Debt Plan A-Direct Weekly Di	10.3754	10.4057	FMP Series 87 Dividend	10.8681	NA	Gold Fund Growth	13.5759	13.8513	Gold Fund Growth	13.5759	13.8513	Gold Fund Growth	13.5759	13.8513	Gold Fund Growth	13.5759	13.8513
Kotak Flexi Debt Regular Plan Weekly Div	10.053	10.0633	FMP Series 87 Growth	10.8681	NA	Gold Fund-Direct Dividend	13.5866	13.8887	Gold Fund-Direct Dividend	13.5866	13.8887	Gold Fund-Direct Dividend	13.5866	13.8887	Gold Fund-Direct Dividend	13.5866	13.8887
Kotak-Flexi Debt Regular Plan Daily Divi	10.3221	10.6904	FMP Series 88 Dividend	10.8277	NA	Gold Fund-Direct Growth	13.5856	13.8877	Gold Fund-Direct Growth	13.5856	13.8877	Gold Fund-Direct Growth	13.5856	13.8877	Gold Fund-Direct Growth	13.5856	13.8877
Kotak-Flexi Debt Regular Plan Growth	17.9664	18.6786	FMP Series 88 Growth	10.8277	NA	Kotak-Balance Dividend	18.369	18.252	Kotak-Balance Dividend	18.369	18.252	Kotak-Balance Dividend	18.369	18.252	Kotak-Balance Dividend	18.369	18.252
Kotak-Flexi Debt Regular Plan Quarterly	10.4685	10.5389	FMP Series 89 Dividend	10.7267	NA	Kotak-Balance-Direct Dividend	18.417	18.346	Kotak-Balance-Direct Dividend	18.417	18.346	Kotak-Balance-Direct Dividend	18.417	18.346	Kotak-Balance-Direct Dividend		

Half Yearly Portfolio of the Schemes / Plans as on 30th September, 2013 (Unaudited) (continued)

Dividend(s) declared during the month period under Dividend Option :

Annexure B

Scheme Name	Option Name	Record Date	Dividend Rate (Rs per Unit)		Cum Dividend NAV (Rs.)
			Individual/HUF	Others	
Kotak-Balance	Dividend	30/09/2013	0.5	0.5	18.2517
Kotak-Balance	Direct-Dividend	30/09/2013	0.5	0.5	18.3464
Kotak-Bond Deposit	Deposit Dividend	20/06/2013	0.4634	0.4438	12.8071
Kotak-Bond Plan A	Regular Quarterly Dividend	20/06/2013	0.3936	0.377	10.9851
Kotak-Bond Plan A	Direct-Regular Quarterly Dividend	20/06/2013	0.4117	0.3943	11.1209
Kotak-Bond Short Term	Dividend	12/04/2013	0.1078	0.0918	10.2036
		13/05/2013	0.1132	0.0964	10.2335
		12/06/2013	0.0276	0.0264	10.1397
		12/07/2013	0.012	0.0115	10.1197
Kotak-Bond Short Term	Direct-Dividend	12/04/2013	0.1122	0.0956	10.27
		13/05/2013	0.1386	0.1181	10.3002
		12/06/2013	0.031	0.0297	10.1817
		12/07/2013	0.0152	0.0146	10.1615
Kotak Equity Arbitrage Fund	Dividend	22/04/2013	0.0738	0.0738	10.7746
		27/05/2013	0.082	0.082	10.7651
		24/06/2013	0.0737	0.0737	10.7642
		22/07/2013	0.0599	0.0599	10.7226
		26/08/2013	0.0818	0.0818	10.7433
		23/09/2013	0.0736	0.0736	10.7342
Kotak Equity Arbitrage Fund	Direct-Dividend	22/04/2013	0.0773	0.0773	10.8051
		27/05/2013	0.0864	0.0864	10.7967
		24/06/2013	0.0772	0.0772	10.7951
		22/07/2013	0.0633	0.0633	10.7537
		26/08/2013	0.0861	0.0861	10.7757
		23/09/2013	0.0771	0.0771	10.766
Income Opportunities Fund	Weekly Dividend		0.2375	0.2025	
Income Opportunities Fund	Monthly Dividend	12/04/2013	0.0914	0.0779	10.2333
		13/05/2013	0.0919	0.0783	10.2747
		12/06/2013	0.0236	0.0226	10.2001
		12/07/2013	0.0126	0.012	10.1859
Income Opportunities Fund	Direct-Monthly Dividend	12/04/2013	0.1023	0.0871	10.2837
		13/05/2013	0.1333	0.1135	10.3191
		12/06/2013	0.0256	0.0245	10.1998
		12/07/2013	0.0167	0.016	10.1884
Income Opportunities Fund	Quarterly Dividend	20/06/2013	0.2037	0.195	10.5044
Emerging Equity Scheme	Dividend	2/05/2013	0.5	0.5	11.4905
Emerging Equity Scheme	Direct-Dividend	2/05/2013	0.5	0.5	11.512
Kotak-Floater Short Term	Daily Dividend		34.464985	33.007821	
Kotak-Floater Short Term	Direct-Daily Dividend		34.30708	33.197087	
Kotak-Floater Short Term	Weekly Dividend		35.9751	34.4539	
Kotak-Floater Short Term	Direct-Weekly Dividend		36.2058	32.3002	
Kotak-Floater Short Term	Monthly Dividend	12/04/2013	6.3072	6.0406	1009.4338
		13/05/2013	5.6642	5.4248	1008.6087
		12/06/2013	5.3105	5.0859	1008.1547
		12/07/2013	5.2597	5.0373	1008.0896
		12/08/2013	4.3618	4.1774	1006.9373
		12/09/2013	7.2198	6.9146	1010.6049
Kotak-Floater Short Term	Direct-Monthly Dividend	13/05/2013	4.0456	3.8746	1008.6392
		12/08/2013	0.2305	0.2208	1006.9386
		12/09/2013	7.2891	6.9809	1015.9965
Kotak-Floater Long Term	Daily Dividend		0.30792	0.281673	
Kotak-Floater Long Term	Direct-Daily Dividend		0.317598	0.290614	
Kotak-Floater Long Term	Weekly Dividend		0.3456	0.3137	
Kotak-Floater Long Term	Direct-Weekly Dividend		0.356	0.3228	
Kotak-Floater Long Term	Monthly Dividend	12/04/2013	0.0903	0.0769	10.1551
		13/05/2013	0.0745	0.0635	10.1371
		12/06/2013	0.0498	0.0477	10.116
		12/07/2013	0.0514	0.0492	10.118
		12/09/2013	0.049	0.047	10.115
Kotak-Floater Long Term	Direct-Monthly Dividend	12/04/2013	0.0913	0.0778	10.2072
		13/05/2013	0.0757	0.0645	10.1894
		12/06/2013	0.0534	0.0511	10.1715
		12/07/2013	0.0533	0.0511	10.1714
		12/09/2013	0.0526	0.0504	10.1705
Kotak-Flexi Debt Regular Plan	Daily Dividend		0.034494	0.029763	
Kotak Flexi Debt Plan A	Daily Dividend		0.305942	0.279372	
Kotak Flexi Debt Plan A	Direct-Daily Dividend		0.3190215	0.299963	
Kotak Flexi Debt Plan A	Weekly Dividend		0.3511	0.3183	
Kotak Flexi Debt Regular Plan	Weekly Dividend		0.3373	0.3052	
Kotak Flexi Debt Plan A	Direct-Weekly Dividend		0.3596	0.3085	
Kotak-Flexi Debt Regular Plan	Quarterly Dividend	20/06/2013	0.1842	0.1765	10.692
		20/09/2013	0.0807	0.0773	10.6063
Quarterly Interval Plan-Series I	Dividend	11/06/2013	0.13495965	0.12925529	10.1713
		10/09/2013	0.15247327	0.1460272	10.1929
Kotak-Gilt Investment Regular Plan	Dividend	20/06/2013	0.6197	0.5935	13.5152

(Continued)

Annexure B

Scheme Name	Option Name	Record Date	Dividend Rate (Rs per Unit)		Cum Dividend NAV (Rs.)
			Individual/HUF	Others	
Kotak-Gilt Investment Provident Fund and Trust Plan	Dividend	20/06/2013	0.5286	0.5063	11.6239
Kotak-Gilt Investment Regular Plan	Direct-Dividend	20/06/2013	0.6464	0.619	13.7366
Kotak-Banking and PSU Debt Fund	Monthly Dividend	12/09/2013	0.4464	0.4275	11.0207
Kotak-Banking and PSU Debt Fund	Direct-Monthly Dividend	13/05/2013	0.1238	0.1055	10.7876
		12/06/2013	0.0481	0.0461	10.708
		12/07/2013	0.0196	0.0188	10.6714
		12/09/2013	0.1219	0.1167	10.8027
Kotak-Banking and PSU Debt Fund	Daily Dividend Reinvestment		0.081722	0.078266	
Kotak-Banking and PSU Debt Fund	Direct-Daily Direct Div Reinvestment		0.082666	0.079171	
Kotak-Monthly Income Plan	Monthly Dividend	12/04/2013	0.0541	0.0461	11.1096
		13/05/2013	0.0534	0.0455	11.3865
		12/06/2013	0.0543	0.052	11.3374
		12/07/2013	0.0541	0.0518	11.1814
		12/08/2013	0.0331	0.0317	10.6073
		12/09/2013	0.0139	0.0133	10.6689
Kotak-Monthly Income Plan	Direct-Monthly Dividend	12/04/2013	0.0488	0.0416	11.1149
		13/05/2013	0.0534	0.0455	11.4069
		12/06/2013	0.0544	0.0521	11.3642
		12/07/2013	0.056	0.0536	11.2136
		12/08/2013	0.0331	0.0317	10.6418
		12/09/2013	0.0175	0.0167	10.7102
Kotak-Monthly Income Plan	Quarterly Dividend	20/06/2013	0.1665	0.1594	11.8033
		20/09/2013	0.0415	0.0397	11.2669
Kotak-Monthly Income Plan	Direct-Quarterly Dividend	20/06/2013	0.1682	0.1611	11.9579
		20/09/2013	0.042	0.0403	11.435
Kotak-Liquid Regular	Weekly Dividend		31.566	30.2308	
Kotak-Liquid Institutional	Daily Dividend		39.840454	38.157023	
Kotak-Liquid Plan A	Daily Dividend		41.381984	39.63238	
Kotak-Liquid Plan A	Direct-Daily Dividend		41.620604	39.860911	
Kotak-Liquid Institutional	Weekly Dividend		31.1702	27.5994	
Kotak-Liquid Plan A	Weekly Dividend		35.2517	33.7612	
Kotak-Liquid Plan A	Direct-Weekly Dividend		35.5664	31.6924	
Multi Asset Allocation Fund	Monthly Dividend	12/04/2013	0.0557	0.0475	11.4765
		13/05/2013	0.0551	0.047	11.7022
		12/06/2013	0.0558	0.0535	11.6372
		12/07/2013	0.0555	0.0532	11.4532
		12/08/2013	0.0338	0.0324	10.9377
		12/09/2013	0.0144	0.0138	10.9617
Multi Asset Allocation Fund	Direct-Monthly Dividend	12/04/2013	0.056	0.0477	11.553
		13/05/2013	0.0555	0.0473	11.779
		12/06/2013	0.0562	0.0538	11.7143
		12/07/2013	0.0578	0.0553	11.5391
		12/08/2013	0.0341	0.0326	11.0261
		12/09/2013	0.0181	0.0173	11.0533
Multi Asset Allocation Fund	Quarterly Dividend	20/06/2013	0.1644	0.1574	11.556
		20/09/2013	0.061	0.0584	11.017
Multi Asset Allocation Fund	Direct-Quarterly Dividend	20/06/2013	0.165	0.158	11.623
		20/09/2013	0.0614	0.0588	11.0925
Nifty ETF		6/05/2013	11	11	613.1266
Quarterly Interval Plan-Series II	Dividend	16/06/2013	0.12434359	0.11908547	10.1577
		15/09/2013	0.14281891	0.13678205	10.1805
Quarterly Interval Plan-Series III	Dividend	15/05/2013	0.15691796	0.13369764	10.1785
		18/08/2013	0.15661412	0.14999259	10.191
Quarterly Interval Plan-Series III	Direct-Dividend	15/05/2013	0.15654696	0.13425486	10.1793
Quarterly Interval Plan-Series IV	Dividend	9/06/2013	0.14370287	0.13762741	10.1825
		9/09/2013	0.15239475	0.14595094	10.1928
Quarterly Interval Plan-Series IV	Direct-Dividend	9/06/2013	0.144181	0.13810217	10.1832
		9/09/2013	0.15294069	0.1465032	10.1935
Quarterly Interval Plan-Series 5	Dividend	16/04/2013	0.15223043	0.12970306	10.1718
		16/07/2013	0.13862401	0.13278267	10.176
Quarterly Interval Plan-Series 6	Dividend	6/05/2013	0.15613639	0.13303147	10.1763
		5/08/2013	0.13875285	0.13288571	10.1738
Quarterly Interval Plan Series 7	Dividend	21/05/2013	0.15778942	0.13444057	10.1782
		20/08/2013	0.16174262	0.15490418	10.1841
Quarterly Interval Plan Series 7	Direct-Dividend	21/05/2013	0.15480352	0.13196425	10.1789
Quarterly Interval Plan Series 8	Dividend	9/06/2013	0.13067912	0.12515411	10.1659
		9/09/2013	0.14843034	0.14215482	10.1878
Quarterly Interval Plan Series 9	Dividend	7/04/2013	0.17483959	0.14896727	10.2007
		7/07/2013	0.13887708	0.13301846	10.1762
Quarterly Interval Plan Series 10	Dividend	7/04/2013	0.17116518	0.14583676	10.1935
		7/07/2013	0.13706435	0.13127061	10.1739
Sensex ETF		18/06/2013	7	7	201.3164

(Dividend distribution is subject to availability and adequacy of distributable surplus). Please log on to www.kotakmutual.com for Record date wise listing of dividend declared

Common Notes to Portfolio:

- 1) Face Value per unit: Rs. 10 (For Kotak Gold ETF: Rs. 100, Kotak Liquid and Kotak Floater Short Term: Rs.1000).
- 2) Total outstanding exposure in Derivatives & Repo in Corporate Debt Securities for the month, where applicable, is as stated in the respective scheme portfolios.
- 3) Total investments in foreign securities/ ADRs/ GDRs at the end of the half year, where applicable, is as stated in the respective scheme portfolios.
- 4) Dividend/ Bonus declared during the half year, where applicable, is as stated in the respective scheme portfolios.

Dividend is declared on the face value of the units. Dividend distribution is subject to the availability and adequacy of distributable surplus. After dividend is distributed, the NAV falls to the extent of the dividend and distribution taxes.

- 5) Total NPAs provided for and percentage to NAV : NIL
- 6) # indicates % to net assets less than 0.01%
- 7) Average Maturity of the portfolio is based on the total maturity of fixed rate and immediate reset date of floating rate instrument.
- 8) Scheme name of Kotak Mahindra Gilt Unit Scheme 98- Savings Plan has been changed to Kotak Banking and PSU Debt Fund from 15th August, 2013 with changes in scheme features and a separate daily dividend plan has been introduced with effect from August 20, 2013.

SO: Structured Obligations **FRD:** Floating Rate Debentures **CP:** Commercial Paper **CD:** Certificate of Deposit **TB:** Treasury Bills/Cash Management Bills **ZCB:** Zero Coupon Bonds

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.