

Distributor's ARN	Sub-Broker's ARN	Sub-Broker's Code	EUIN (Mandatory)

Declaration for "Execution-only" transactions (only where EUIN box is left blank)




I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Folio Number: _____

Investor Name: _____

Dividend Transfer Out: From Scheme	Dividend Transfer In: To Scheme
Scheme: _____	Scheme: _____
Plan: _____	Plan: _____
Option: _____	Option: _____
Frequency: _____	Frequency: _____

I/ We have read and understood the contents and terms and conditions of the Scheme Information Document and Key Information Memorandum of the scheme we are transferring dividends to. I/ We have also read and understood the Instructions of DTP below, I/ We hereby apply to the Trustee of Kotak Mutual Fund for the DTP Enrolment and agree to abide by the terms and conditions of the Scheme(s)/ Plan(s) and DTP.

Signature	 _____ 1st / Sole Holder	 _____ 2nd Holder	 _____ 3rd Holder
-----------	---	--	--

INSTRUCTIONS

- Dividend Transfer Plan (DTP) facility is available under the Dividend Options (other than Daily Reinvestment Sub-option) of all open ended Schemes of Kotak Mahindra Mutual Fund.
- DTP facility is not available under daily dividend re-investment option of any scheme/plan.
- DTP facility is available to unit holder(s) holding units in non-demat form under the Dividend Option of the Transferor Schemes.
- Under DTP facility investors cannot transfer their dividends into certain category of transferee schemes viz, close ended Schemes, Exchange Traded Funds (ETFs), and Kotak Tax Saver Scheme.
- The Minimum amount of dividend eligible for transfer under DTP facility is Rs.500/- (Rupees Five Hundred Only). If the dividend amount in the Transferor Scheme is less than Rs.500/- the dividend will be automatically reinvested in the Transferor Scheme itself and will not be transferred.
- The provision for 'Minimum Application Amount' specified in the respective Target Scheme's SID will not be applicable under DTP.
- Under DTP, dividend as & when declared in the transferor scheme will be automatically invested without any exit load into the transferee scheme, as opted by the Unit holder. Such transfer will be treated as fresh subscription in the transferee scheme and invested at the Applicable NAV of the Transferee Scheme.
- Dividend will be transferred on the record date in the Transferee Scheme. If the record date is a non-business day for the transferee scheme, then the dividend will be transferred on the following business day.
- In case the dividend frequency has not been mentioned under Transferor Scheme, the units will be allotted under the default frequency.
- No Exit Load will be levied on units allotted in the Transferee Scheme under the Dividend Transfer Plan.
- The enrolment to avail of DTP facility has to be specified for each Scheme/ Plan/ Option separately via separate forms and not at the folio level.
- Enrolment under the DTP facility will automatically override any previous instructions for 'Dividend Payout' or 'Dividend Reinvestment' option in the transferor scheme.
- The request for enrolment or cancellation for DTP must be submitted at least 7 days prior to the Record Date for the dividend. In case of the condition not being met, the enrolment would be considered valid from the immediately succeeding Record Date of the dividend, provided the difference between the date of receipt of a valid application for enrolment under DTP and the next Record Date for the dividend is not less than 7 days.
- The AMC / Trustee reserve the right to change/ modify the terms and conditions of the DTP on a prospective basis.
- Dividend Transfer Plan (DTP) facility will be eligible for existing unit holder(s) only.
- Incomplete DTP forms are liable to be rejected.