

## A. Introduction of additional Systematic Investment Plan (SIP) dates for subscription and change in minimum subscription amount for SIP in Kotak Equity Arbitrage Fund

Effective November 1, 2014, there would be additional dates for subscription through SIP and change in the minimum subscription amount for SIP in Kotak Equity Arbitrage Fund.

Kotak Equity Arbitrage Fund	Existing Features	Revised Features
<b>SIP Dates</b>	1st, 7th, 14th, 21st & 25th of the Month	1st, 7th, 10th, 14th, 15th, 21st, 25th & 28th of the Month
<b>Minimum subscription amount for SIP</b>	<b>Rs. 1000/-</b> (Subject to a minimum of 6 SIP installments of Rs. 1000/- each)	<b>Rs. 500/-</b> (Subject to a minimum of 10 SIP installments of Rs. 500/- each)

The Asset Management Company reserves the right to modify the terms & conditions of the SIP and minimum subscription amount for SIP a later date.

The first SIP can be for any date of the month on which a NAV is declared in the scheme. In respect of the second and all subsequent SIPs, investors can choose between 1st, 7th, 10th, 14th, 15th, 21st, 25th & 28th as the SIP Date and can also choose the SIP frequency as monthly or quarterly subject however, to the condition that there shall be a minimum gap of 28 days between the first and the second SIP.

## B. Non-acceptance of subscriptions from U.S. Persons and Residents of Canada

Effective November 1, 2014 ('effective date'), Kotak Mutual Fund has decided to stop accepting subscriptions from U.S. Persons and Residents of Canada in the schemes of Kotak Mutual Fund.

It may further be noted that:

- No fresh purchases (including Systematic Investment Plans and Systematic Transfer Plans)/ additional purchases/ switches in any Schemes of our Mutual Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Mutual Fund.
- If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund. It shall be the obligation of the Unitholder to notify such change in his/her/its status to the AMC/ Mutual Fund.
- All existing registered Systematic Investment Plans and Systematic Transfer Plans along with related mandates would cease from the effective date;
- In case Kotak Mutual Fund/Kotak AMC subsequently identifies that the subscription amount is received after the effective date from U.S. Person(s) or Resident(s) of Canada, in that case the AMC/Mutual Fund at its discretion shall redeem all the units held by such person from the Scheme of the Mutual Fund at applicable Net Asset Value.

The Trustee/AMC reserves the right to change/modify the provisions mentioned above at a later date. Pursuant to above changes, necessary changes will be carried out at relevant places in Scheme Information Document (s), Statement of Additional Information and Key Information Memorandum (s) of the Schemes of Kotak Mutual Fund.

All other terms and conditions of the Schemes remain unchanged. This notice -cum - addendum shall form an integral part of the SAI, SID and KIM of all the Schemes of Kotak Mutual Fund as amended from time to time.

**For Kotak Mahindra Asset Management Company Limited  
Investment Manager – Kotak Mahindra Mutual Fund**

**Sd/-  
Gaurang Shah  
Director**

**Mumbai  
October 21, 2014**

Any queries / clarifications in this regard may be addressed to:

**Kotak Mahindra Asset Management Company Limited**

CIN: U65991MH1994PLC080009

(Investment Manager for Kotak Mahindra Mutual Fund)

6th Floor, Kotak Towers, Building No. 21, Infinity Park, Off: Western Express Highway,  
Goregaon - Mulund Link Road, Malad (East), Mumbai 400097.

Phone Number: 66056825 • Email: [mutual@kotak.com](mailto:mutual@kotak.com) • Website: [assetmanagement.kotak.com](http://assetmanagement.kotak.com)

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**