

TRANSMISSION MATRIX

S No	Documents required for Transmission	To Joint Holders	To Nominee**	To Claimant** in Single Holding / on Death of all Unit Holders & Nominee Not available	On Demise of Karta in case of HUF
1	Letter from Surviving Unit-Holder(s)/ Nominee/ Claimant/ New Karta	√	√	√	√
2	Death Certificate in original or photocopy duly notarized or attested of the deceased Unit Holder(s) / Karta	√	√	√	√
3	Bank Account Details of the new first unit holder	√	√	√	√
4	Bankers Attestation on Bank Details OR Cancelled cheque leaf bearing the account details and account holders name	√	√	√	√
5	KYC of Surviving Unit-Holder(s)/ Nominee(s) / Claimant(s) / New Karta	√	√	√	√
6	FATCA & CRS - Self Certification Form	√	√	√	√
7	Nomination Form for Nominee Updation in New Folio <i>(Not Mandatory)</i>	√	√	√	
8	Indemnity Bond [To be executed on stamp paper of minimum Rs 20/- and duly notarized]			√	√ [Signed by all Co-parceners & New Karta]
9	Individual Affidavits from legal heir			√	
10	Transmission value - Less than *Rs. 2,00,000/- : Document evidencing relationship of the claimant with the deceased unit holder(s) Transmission value - *Rs. 2,00,000/- or more : Notarized copy of the Probated Will OR Legal Heir / Succession / Claimant certificate issued by a competent court OR Letter of Administration, in case of Intestate Succession * Rs. 5,00,000/- in case of Units held in Demat Mode			√	
11	Notarized copy of Settlement Deed, or Notarized copy of Deed of Partition, or Notarized copy of Decree of the relevant competent Court				#√

** In case of Nominee(s)/ Claimant(s) being a Minor, various afore-mentioned documents should be of the Guardian of the Nominee/ Claimant.

In case of no surviving co-parceners and the transmission amount is Rs One Lakh or more OR where there is an objection from any surviving members of the HUF